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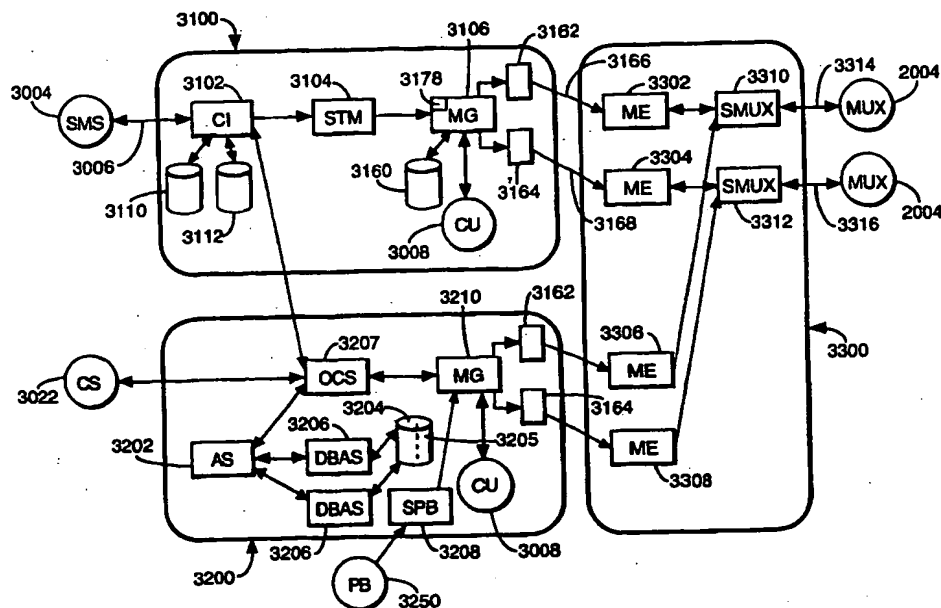
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : <b>H04N 7/167</b>		A1	(11) International Publication Number: <b>WO 98/43430</b>
			(43) International Publication Date: 1 October 1998 (01.10.98)
(21) International Application Number: <b>PCT/EP97/02106</b>		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 25 April 1997 (25.04.97)		Published With international search report.	
(30) Priority Data: 97400650.4 21 March 1997 (21.03.97) EP			
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(54) Title: SIGNAL GENERATION AND BROADCASTING

## (57) Abstract

The invention includes a mostly conventional digital television system (2000) to transmit compressed digital signals. A multiplexer (2004) receives a plurality of further input signals, assembles one or more transport streams and transmits compressed digital signals to a transmitter (2008) of the broadcast centre via linkage (2010). The transmitter (2008) transmits electromagnetic signals via uplink (2012) towards a satellite transponder (2014), where they are electronically processed and broadcast via notional downlink (2016) to earth receiver (2018). The signals received by receiver (2018) are transmitted to an integrated receiver/decoder (2020) connected to the end user's television set (2022). The receiver/decoder (2020) decodes the compressed MPEG-2 signal into a television signal for the television set (2022). A conditional access system (3000) is connected to the multiplexer (2004) and the receiver/decoder (2020), and is located partly in the broadcast centre and partly in the decoder. It enables the end user to access digital television broadcasts from one or more broadcast suppliers. A smartcard, capable of decrypting messages relating to one or several television programmes sold by the broadcast supplier, can be inserted into the receiver/decoder (2020). Using the decoder (2020) and smartcard, the end user may purchase commercial offers in either a subscription mode or a pay-per-view mode. A number of features of particular interest are disclosed, involving the randomization of EMMs, mixing parameter length and identifier on EMMs and the like to save space, dynamic allocation of bandwidth for specific EMMs, and the STM being separate from the SMS.



The receiver/decoder (2020) decodes the compressed MPEG-2 signal into a television signal for the television set (2022). A conditional access system (3000) is connected to the multiplexer (2004) and the receiver/decoder (2020), and is located partly in the broadcast centre and partly in the decoder. It enables the end user to access digital television broadcasts from one or more broadcast suppliers. A smartcard, capable of decrypting messages relating to one or several television programmes sold by the broadcast supplier, can be inserted into the receiver/decoder (2020). Using the decoder (2020) and smartcard, the end user may purchase commercial offers in either a subscription mode or a pay-per-view mode. A number of features of particular interest are disclosed, involving the randomization of EMMs, mixing parameter length and identifier on EMMs and the like to save space, dynamic allocation of bandwidth for specific EMMs, and the STM being separate from the SMS.

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## SIGNAL GENERATION AND BROADCASTING

The present invention relates to a broadcast system, to a conditional access system for the broadcast system, to a broadcast and reception system, to apparatus for generating signals (including messages), to analogous methods, and to signals for use with the  
5 aforesaid systems, apparatus and methods.

In particular, but not exclusively, the invention relates to a mass-market broadcast system having some or all of the following preferred features:-

- It is an information broadcast system, preferably a radio and/or television broadcast system
- 10 • It is a satellite system (although it could be applicable to cable or terrestrial transmission)
- It is a digital system, preferably using the MPEG, more preferably the MPEG-2, compression system for data/signal transmission
- It affords the possibility of interactivity.

15 Again, in particular but not exclusively, the invention relates to a Subscriber Authorization System (SAS) forming part of a conditional access system for a broadcast system. By an SAS is preferably meant any device, apparatus or system for authorizing users to have access to the conditional access system, regardless of the end use. The SAS might be for use with a radio and/or television broadcast system, but  
20 equally could be for authorizing users, for example, in computer networks, in parking lots, and so on. The SAS preferably also has the function of generating suitable entitlement messages.

A function of known Subscriber Authorization Systems is to manage access rights to television programmes, available as commercial offers and sold according to different  
25 modes of commercialisation (subscription mode, pre-book mode, impulse mode). The SAS, according to those rights and to information received from a Subscriber Management System (SMS), generates using a message generator so-called "Entitlement Management Messages" (EMMs) which are broadcast by a message

emitter via a multiplexer to a receiver/decoder of the subscriber to authorize him/her.

In a known system, the message emitter has no ability to sense or control what it emits to the multiplexer. Hence it has been realized pursuant to the present invention that it is possible that the message emitter may transmit back to back two EMMs which  
5 are to be received and decoded by the receiver/decoder. In such circumstances, it is possible that if the EMMs are insufficiently separated the receiver/decoder will be unable to sense and decode properly the second of the EMMs. This may create severe authorization problems.

The present invention in a first aspect seeks to solve this and other problems.

10 According to a first aspect of the present invention, there is provided apparatus for repetitively generating a set of messages, comprising:

means for generating a plurality of messages;

means for repetitively randomizing the sequence of the messages to form a plurality of sets of such messages, so that the messages of each set are in random  
15 sequence; and

means for outputting the plurality of sets of messages.

By repetitively randomizing the sequence of the messages the chance of two messages being broadcast only back to back after a number of repetitions can be made negligibly small.

20 Preferably, the message generating means includes means for storing the generated messages, and, if so, the randomizing means is preferably arranged to form each said set of messages by retrieving the messages in random sequence from the storage means. This can be a particularly efficient way of putting the invention into practice, since the messages do not then have to be generated again each time the sequence of  
25 the messages is randomized.

For ease of access, the storage means is preferably arranged to store the messages in

an at least two-dimensional array. Further dimensions may be added, for example to represent the different operators for whom the messages may be generated.

Preferably, the apparatus further comprises means coupled to the output means for varying the rate at which the plurality of sets of messages is output. In this way any  
5 bitrate directive from the multiplexer can be taken account of.

For the same reason, the apparatus preferably further comprises means coupled to the storage means for evaluating the size of the messages stored in the storage means, and means coupled to the output means for varying the rate at which the plurality of sets of messages is output, in dependence upon the said size of the messages.

10 Preferably, the generating means and the randomizing means are coupled via a First In First Out device. Since these two components may be a large distance apart, provision of the FIFO device can allow them effectively to run independently in case of failure of one of them. For the same reason, the output means preferably includes means for storing at least one of the sets of messages, so that, again, it can effectively  
15 operate in standalone mode.

A plurality of output means may be provided, in which case the apparatus preferably further comprises a multiplexer for receiving the plurality of sets of messages output by said plurality of output means.

The present invention extends to an access control system for a broadcast and  
20 reception system, said access control system including, at the broadcast end, apparatus as aforesaid, and, at the reception end, a device for receiving said messages.

The message may be an entitlement message for broadcast to the receiving device. In turn, the entitlement message may be an EMM or ECM. In the preferred embodiment, the output means comprises an EMM Injector for injecting EMMs into  
25 the stream of data to be broadcast.

The present invention further extends to a broadcast and reception system including an access control system as aforesaid; the system may be for the digital broadcast of television programmes.

5 The present invention also extends to a method of repetitively generating a set of messages, comprising:

generating a plurality of messages;

repetitively randomizing the sequence of the messages to form a plurality of sets of such messages, so that the messages of each set are in random sequence; and outputting the plurality of sets of messages.

10 Preferably, the method includes the step of storing messages generated in the generating step, and in the randomizing step preferably each said set of messages is formed by retrieving the messages in random sequence.

15 The invention also provides a method of controlling access of a user to a broadcast and reception system, including, at the broadcast end, a method of repetitively generating a set of messages as aforesaid, and, at the reception end, the step of receiving said messages. Preferably, the message is an entitlement message for reception by the receiving step.

20 A further aspect of the present invention is now discussed. Conventionally in the broadcast of a digital bitstream, information is transmitted as a packet of digital data and an identifier for the packet; the identifier is at least two bytes in length.

25 According to the present invention, preferably the output means referred to before is arranged to output the plurality of sets of messages as a digital signal including a packet (more preferably several packets) of digital data and an identifier for the packet, the identifier being less than two bytes (and preferably one byte or less) in length. This can result in a reduced bandwidth requirement.

The feature is provided independently. Hence, according to a second aspect of the

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present invention, there is provided apparatus for generating a digital signal comprising a packet of digital data and an identifier for the packet, comprising:

means for generating the packet of data; and

means for generating the identifier;

5 wherein:

the identifier generating means is arranged to generate an identifier which is less than two bytes in length.

The identifier may comprise an identity parameter and a length parameter, in which case preferably these parameters are each 4 bits in length for ease of implementation.

10 Preferably, the digital value of the length parameter is not directly proportional to the actual length of the packet. This can permit a greater range of actual length of the packet than the range of the digital value of the length parameter. The apparatus may further comprise means for storing a look-up table giving the correspondence between the digital value of the length parameter and the actual length of the packet.

15 This aspect of the present invention also extends to a broadcast and reception system including, at the broadcast end, apparatus as aforesaid, and, at the reception end, a device for receiving said signal.

Furthermore, in the method as aforesaid the plurality of messages may be output as a digital signal comprising a packet of digital data and an identifier for the packet, the  
20 identifier being less than two bytes in length.

In its independent method form, the second aspect of the present invention provides a method of generating a digital signal comprising a packet of digital data and an identifier for the packet, comprising:

generating the packet of data; and

25 generating the identifier;

wherein:

the identifier is less than two bytes in length.

Preferably, the identifier comprises an identity parameter and a length parameter. Preferably also, the digital value of the length parameter is not directly proportional to the actual length of the packet.

The second aspect of the present invention also provides a digital signal, comprising:

- 5           a packet of digital data; and  
          an identifier for the packet;

wherein:

          the identifier is less than two bytes in length.

- 10           Preferably, the identifier comprises an identity parameter and a length parameter, the  
          identity and length parameters are each 4 bits in length, and the digital value of the  
          length parameter is not directly proportional to the actual length of the packet.

A third aspect of the present invention is now discussed. Conventional broadcast systems are typically subject to severe bandwidth restraints.

- 15           Therefore, preferably, if the broadcast and reception system as aforesaid further  
          comprises means for broadcasting data, it also comprises means for producing a  
          control command representative of a characteristic of the data, and the output means  
          includes means for repetitively broadcasting the plurality of sets of messages, at a  
          variable repetition rate, and for varying the repetition rate in response to the control  
          command.

- 20           This feature is, in the third aspect of the present invention, provided independently.  
          According to this third aspect, there is provided a broadcast system, comprising:

          means for broadcasting data;

          means for producing a control command representative of a characteristic of  
the data; and

- 25           means for repetitively broadcasting a message, at a variable repetition rate; said  
message broadcasting means being arranged to vary the repetition rate in response to  
the control command.



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By varying the repetition rate in response to the control command, bandwidth can be allocated dynamically for specific messages.

In the preferred embodiment, the data are signals representative of programme events (preferably digital television or radio programme events), and the means for producing the control command is arranged to produce the command to be representative of the time of broadcast of a particular programme event, and preferably further the message is an EMM. This aspect of the invention is particularly relevant to Pay Per View events, where the demand by viewers for authorization to watch a particular PPV event will generally vary according to the proximity of the event.

- 10 The control command may be produced by the programme broadcaster, possibly through a so-called "Server for Programme Broadcaster" (SPB).

Preferably, said message broadcasting means is arranged to vary the repetition rate near the time of broadcast of the event. Preferably also, said message broadcasting means is arranged to increase the repetition rate before the time of broadcast of the event, as well as to increase the repetition rate during the time of broadcast of the event.

For example, for a particular PPV programme event the increased rate may start perhaps 30, 20, 15, 10 or 5 minutes before the time of broadcast of the event, and may continue until perhaps one half of, three-quarters of or even the entirety of the event has elapsed. The lower rate may be one broadcast every 30 or 15 minutes, whilst the increased rate may be one broadcast every 2 or one minutes or every 30 seconds. The actual rate naturally does not have to be exactly the figures mentioned; intermediate figures are also possible, and the lower rate may be slower than once every 30 minutes and the increased rate may be faster than once every 30 seconds.

- 25 The third aspect of the invention extends to a broadcast and reception system including, at the broadcast end, a system as aforesaid, and, at the reception end, a device for receiving the broadcast data and messages.

This aspect also provides a method of broadcasting, comprising:

- broadcasting data;
  - producing a control command representative of a characteristic of the data; and
  - repetitively broadcasting a message, at a variable repetition rate which is varied
- 5 in response to the control command.

Preferably, the data are signals representative of programme events, and the control message is representative of the time of broadcast of a particular programme event.

- Preferably also, the repetition rate is varied near the time of broadcast of the event. More preferably, the repetition rate is increased before as well, preferably, as during
- 10 the time of broadcast of the event.

In conventional conditional access systems problems can arise from failure of the linkage between the SMS and the SAS, given that these may be some physical distance apart.

- According to a fourth aspect of the present invention, there is provided a conditional
- 15 access system for affording conditional access to subscribers, comprising:

- a subscriber management system (SMS); and
  - a subscriber authorization system (SAS) coupled to the subscriber management system, said authorization system comprising means for generating subscriber renewal commands and means for authorizing renewal of the subscribers in dependence on
- 20 such commands.

By placing the means for generating subscriber renewal commands in the SAS, rather than in the SMS as hitherto, command exchange between the SAS and SMS can be minimized. Hence also, the requirement for interoperability of the SAS and SMS can be reduced.

- 25 The fourth aspect of the invention also provides a conditional access system for affording conditional access to subscribers, comprising:

a subscriber management system for managing the subscribers, including a database with subscriber information and means for generating (preferably from the database) a stop command that a particular subscription is to be stopped; and

5 a subscriber authorization system, for authorizing the subscribers, coupled to the subscriber management system, and arranged to receive the stop command; the subscriber authorization system comprising means for generating a subscriber renewal command for each subscriber in dependence on the stop command for that subscriber and means for authorizing renewal of the subscribers in dependence on the subscriber renewal commands.

10 Preferably, the subscriber management system includes means for generating (again preferably from the database) a start command that a particular subscription is to be started, and the subscriber renewal command generating means of the subscriber authorization system is arranged to generate each subscriber renewal command in further dependence on the start command. Hence the SAS can handle not only  
15 renewal of existing subscribers but also initial renewal and authorization of new subscribers.

The subscriber authorization system may also include a database with subscriber information, in which case it preferably also includes means for updating the information in that database in dependence on the information in the subscriber  
20 management system database. This can protect against failure of the link between the SMS and SAS.

For the same reason, a First In First Out Device is provided between the subscriber renewal command generating means and the renewal authorizing means, to average flow of commands and ensure that no command is lost in the event of failure.

25 The renewal authorizing means may include output means for outputting authorization entitlement messages to the subscribers, to authorize renewal. In the preferred embodiment, these messages are EMMs.

The subscriber authorization system may be capable of providing special rights (such for example as free rights for a limited period) for new subscribers. This can afford versatility to the system.

5 The invention extends to a conditional access system, being for a broadcast and reception system, said system comprising, at the broadcast end, a system as aforesaid, and further comprising, at the reception end, a device for receiving said messages.

The invention further extends to a broadcast and reception system including a conditional access system as aforesaid. The system typically is for the broadcast of digital television or radio programmes.

10 Preferred features of the present invention will now be described, purely by way of example, with reference to the accompanying drawings, in which:-

Figure 1 shows the overall architecture of a digital television system according to the preferred embodiment of the present invention;

15 Figure 2 shows the architecture of a conditional access system of the digital television system;

Figure 3 shows the structure of an Entitlement Management Message used in the conditional access system;

Figure 4 is a schematic diagram of the hardware of a Subscriber Authorisation System (SAS) according to a preferred embodiment of the present invention;

20 Figure 5 is a schematic diagram of the architecture of the SAS;

Figure 6 is a schematic diagram of a Subscriber Technical Management server forming part of the SAS;

Figure 7 is a flow diagram of the procedure for automatic renewal of subscriptions as implemented by the SAS;

Figure 8 is a schematic diagram of a group\_subscription bitmap used in the automatic renewal procedure;

5 Figure 9 shows the structure of an EMM used in the automatic renewal procedure;

Figure 10 shows in detail the structure of the EMM;

Figure 11 is a schematic diagram of an order centralized server when used to receive commands directly through communications servers;

10 Figure 12 illustrates diagrammatically a part of Figure 2 showing one embodiment of the present invention;

Figure 13 is a schematic diagram of the order centralized server when used to receive commands from the subscriber authorization system to request a callback;

Figure 14 is a schematic diagram of the communications servers;

15 Figure 15 shows the manner in which EMM emission cycle rate is varied according to the timing of a PPV event;

Figure 16 is a schematic diagram of a Message Emitter used to emit EMMs;

Figure 17 is a schematic diagram showing the manner of storage of EMMs within the Message Emitter;

Figure 18 is a schematic diagram of a smartcard;

20 Figure 19 is a schematic diagram of an arrangement of zones in the memory of the

smartcard; and

Figure 20 is a schematic diagram of a PPV event description.

An overview of a digital television broadcast and reception system 1000 according to the present invention is shown in Figure 1. The invention includes a mostly  
5 conventional digital television system 2000 which uses the known MPEG-2 compression system to transmit compressed digital signals. In more detail, MPEG-2 compressor 2002 in a broadcast centre receives a digital signal stream (typically a stream of video signals). The compressor 2002 is connected to a multiplexer and  
10 scrambler 2004 by linkage 2006. The multiplexer 2004 receives a plurality of further input signals, assembles one or more transport streams and transmits compressed digital signals to a transmitter 2008 of the broadcast centre via linkage 2010, which can of course take a wide variety of forms including telecom links. The transmitter  
2008 transmits electromagnetic signals via uplink 2012 towards a satellite transponder 2014, where they are electronically processed and broadcast via notional downlink  
15 2016 to earth receiver 2018, conventionally in the form of a dish owned or rented by the end user. The signals received by receiver 2018 are transmitted to an integrated receiver/decoder 2020 owned or rented by the end user and connected to the end user's television set 2022. The receiver/decoder 2020 decodes the compressed MPEG-2 signal into a television signal for the television set 2022.

20 A conditional access system 3000 is connected to the multiplexer 2004 and the receiver/decoder 2020, and is located partly in the broadcast centre and partly in the decoder. It enables the end user to access digital television broadcasts from one or more broadcast suppliers. A smartcard, capable of decrypting messages relating to commercial offers (that is, one or several television programmes sold by the broadcast  
25 supplier), can be inserted into the receiver/decoder 2020. Using the decoder 2020 and smartcard, the end user may purchase events in either a subscription mode or a pay-per-view mode.

An interactive system 4000, also connected to the multiplexer 2004 and the

receiver/decoder 2020 and again located partly in the broadcast centre and partly in the decoder, enables the end user to interact with various applications via a modemmed back channel 4002.

The conditional access system 3000 is now described in more detail.

- 5 With reference to Figure 2, in overview the conditional access system 3000 includes a Subscriber Authorization System (SAS) 3002. The SAS 3002 is connected to one or more Subscriber Management Systems (SMS) 3004, one SMS for each broadcast supplier, by a respective TCP-IP linkage 3006 (although other types of linkage could alternatively be used). Alternatively, one SMS could be shared between two broadcast  
10 suppliers, or one supplier could use two SMSs, and so on.

- First encrypting units in the form of ciphering units 3008 utilising "mother" smartcards 3010 are connected to the SAS by linkage 3012. Second encrypting units again in the form of ciphering units 3014 utilising mother smartcards 3016 are connected to the multiplexer 2004 by linkage 3018. The receiver/decoder 2020 receives a "daughter"  
15 smartcard 3020. It is connected directly to the SAS 3002 by Communications Servers 3022 via the modemmed back channel 4002. The SAS sends amongst other things subscription rights to the daughter smartcard on request.

- The smartcards contain the secrets of one or more commercial operators. The "mother" smartcard encrypts different kinds of messages and the "daughter" smartcards  
20 decrypt the messages, if they have the rights to do so.

The first and second ciphering units 3008 and 3014 comprise a rack, an electronic VME card with software stored on an EEPROM, up to 20 electronic cards and one smartcard 3010 and 3016 respectively, for each electronic card, one (card 3016) for encrypting the ECMs and one (card 3010) for encrypting the EMMs.

- 25 The operation of the conditional access system 3000 of the digital television system will now be described in more detail with reference to the various components of the

television system 2000 and the conditional access system 3000.

### Multiplexer and Scrambler

With reference to Figures 1 and 2, in the broadcast centre, the digital video signal is first compressed (or bit rate reduced), using the MPEG-2 compressor 2002. This compressed signal is then transmitted to the multiplexer and scrambler 2004 via the linkage 2006 in order to be multiplexed with other data, such as other compressed data.

The scrambler generates a control word used in the scrambling process and included in the MPEG-2 stream in the multiplexer 2004. The control word is generated internally and enables the end user's integrated receiver/decoder 2020 to descramble the programme.

Access criteria, indicating how the programme is commercialised, are also added to the MPEG-2 stream. The programme may be commercialised in either one of a number of "subscription" modes and/or one of a number of "Pay Per View" (PPV) modes or events. In the subscription mode, the end user subscribes to one or more commercial offers, or "bouquets", thus getting the rights to watch every channel inside those bouquets. In the preferred embodiment, up to 960 commercial offers may be selected from a bouquet of channels. In the Pay Per View mode, the end user is provided with the capability to purchase events as he wishes. This can be achieved by either pre-booking the event in advance ("pre-book mode"), or by purchasing the event as soon as it is broadcast ("impulse mode"). In the preferred embodiment, all users are subscribers, whether or not they watch in subscription or PPV mode, but of course PPV viewers need not necessarily be subscribers.

Both the control word and the access criteria are used to build an Entitlement Control Message (ECM); this is a message sent in relation with one scrambled program; the message contains a control word (which allows for the descrambling of the program) and the access criteria of the broadcast program. The access criteria and control word are transmitted to the second encrypting unit 3014 via the linkage 3018. In this unit,



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an ECM is generated, encrypted and transmitted on to the multiplexer and scrambler 2004.

Each service broadcast by a broadcast supplier in a data stream comprises a number of distinct components; for example a television programme includes a video component, an audio component, a sub-title component and so on. Each of these components of a service is individually scrambled and encrypted for subsequent broadcast to the transponder 2014. In respect of each scrambled component of the service, a separate ECM is required.

#### Programme Transmission

10 The multiplexer 2004 receives electrical signals comprising encrypted EMMs from the SAS 3002, encrypted ECMs from the second encrypting unit 3014 and compressed programmes from the compressor 2002. The multiplexer 2004 scrambles the programmes and transmits the scrambled programmes, the encrypted EMMs and the encrypted ECMs as electric signals to a transmitter 2008 of the broadcast centre via  
15 linkage 2010. The transmitter 2008 transmits electromagnetic signals towards the satellite transponder 2014 via uplink 2012.

#### Programme Reception

The satellite transponder 2014 receives and processes the electromagnetic signals transmitted by the transmitter 2008 and transmits the signals on to the earth receiver  
20 2018, conventionally in the form of a dish owned or rented by the end user, via downlink 2016. The signals received by receiver 2018 are transmitted to the integrated receiver/decoder 2020 owned or rented by the end user and connected to the end user's television set 2022. The receiver/decoder 2020 demultiplexes the signals to obtain scrambled programmes with encrypted EMMs and encrypted ECMs.

25 If the programme is not scrambled, that is, no ECM has been transmitted with the MPEG-2 stream, the receiver/decoder 2020 decompresses the data and transforms the signal into a video signal for transmission to television set 2022.

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If the programme is scrambled, the receiver/decoder 2020 extracts the corresponding ECM from the MPEG-2 stream and passes the ECM to the "daughter" smartcard 3020 of the end user. This slots into a housing in the receiver/decoder 2020. The daughter smartcard 3020 controls whether the end user has the right to decrypt the ECM and to access the programme. If not, a negative status is passed to the receiver/decoder 2020 to indicate that the programme cannot be descrambled. If the end user does have the rights, the ECM is decrypted and the control word extracted. The decoder 2020 can then descramble the programme using this control word. The MPEG-2 stream is decompressed and translated into a video signal for onward transmission to television set 2022.

### **Subscriber Management System (SMS)**

A Subscriber Management System (SMS) 3004 includes a database 3024 which manages, amongst others, all of the end user files, commercial offers (such as tariffs and promotions), subscriptions, PPV details, and data regarding end user consumption and authorization. The SMS may be physically remote from the SAS.

Each SMS 3004 transmits messages to the SAS 3002 via respective linkage 3006 which imply modifications to or creations of Entitlement Management Messages (EMMs) to be transmitted to end users.

The SMS 3004 also transmits messages to the SAS 3002 which imply no modifications or creations of EMMs but imply only a change in an end user's state (relating to the authorization granted to the end user when ordering products or to the amount that the end user will be charged).

As described later, the SAS 3002 sends messages (typically requesting information such as call-back information or billing information) to the SMS 3004, so that it will be apparent that communication between the two is two-way.

### **Entitlement Management Messages (EMMs)**

The EMM is a message dedicated to an individual end user (subscriber), or a group

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of end users, only (in contrast with an ECM, which is dedicated to one scrambled programme only or a set of scrambled programmes if part of the same commercial offer). Each group may contain a given number of end users. This organisation as a group aims at optimising the bandwidth; that is, access to one group can permit the reaching of a great number of end users.

Various specific types of EMM are used in putting the present invention into practice. Individual EMMs are dedicated to individual subscribers, and are typically used in the provision of Pay Per View services; these contain the group identifier and the position of the subscriber in that group. So-called "Group" subscription EMMs are dedicated to groups of, say, 256 individual users, and are typically used in the administration of some subscription services. This EMM has a group identifier and a subscribers' group bitmap. Audience EMMs are dedicated to entire audiences, and might for example be used by a particular operator to provide certain free services. An "audience" is the totality of subscribers having smartcards which bear the same Operator Identifier (OPI). Finally, a "unique" EMM is addressed to the unique identifier of the smartcard.

The structure of a typical EMM is now described with reference to Figure 3. Basically, the EMM, which is implemented as a series of digital data bits, comprises a header 3060, the EMM proper 3062, and a signature 3064. The header 3060 in turn comprises a type identifier 3066 to identify whether the type is individual, group, audience or some other type, a length identifier 3068 which gives the length of the EMM, an optional address 3070 for the EMM, an operator identifier 3072 and a key identifier 3074. The EMM proper 3062 of course varies greatly according to its type. Finally, the signature 3064, which is typically of 8 bytes long, provides a number of checks against corruption of the remaining data in the EMM.

#### **Subscriber Authorization System (SAS)**

The messages generated by the SMS 3004 are passed via linkage 3006 to the Subscriber Authorization System (SAS) 3002, which in turn generates messages acknowledging receipt of the messages generated by the SMS 3004 and passes these

acknowledgements to the SMS 3004.

As shown in Figure 4, at the hardware level the SAS comprises in known fashion a mainframe computer 3050 (in the preferred embodiment a DEC machine) connected to one or more keyboards 3052 for data and command input, one or more Visual  
5 Display Units (VDUs) 3054 for display of output information and data storage means 3056. Some redundancy in hardware may be provided.

At the software level the SAS runs, in the preferred embodiment on a standard open VMS operating system, a suite of software whose architecture is now described in overview with reference to Figure 5; it will be understood that the software could  
10 alternatively be implemented in hardware.

In overview the SAS comprises a Subscription Chain area 3100 to give rights for subscription mode and to renew the rights automatically each month, a Pay Per View Chain area 3200 to give rights for PPV events, and an EMM Injector 3300 for passing EMMs created by the Subscription and PPV chain areas to the multiplexer and  
15 scrambler 2004, and hence to feed the MPEG stream with EMMs. If other rights are to be granted, such as Pay Per File (PPF) rights in the case of downloading computer software to a user's Personal Computer, other similar areas are also provided.

One function of the SAS 3002 is to manage the access rights to television programmes, available as commercial offers in subscription mode or sold as PPV  
20 events according to different modes of commercialisation (pre-book mode, impulse mode). The SAS 3002, according to those rights and to information received from the SMS 3004, generates EMMs for the subscriber.

The Subscription Chain area 3100 comprises a Command Interface (CI) 3102, a Subscriber Technical Management (STM) server 3104, a Message Generator (MG)  
25 3106, and the Cipherring Unit 3008.

The PPV Chain area 3200 comprises an Authorisation Server (AS) 3202, a relational

database 3204 for storing relevant details of the end users, a local blacklist database 3205, Database Servers 3206 for the database, an Order Centralized Server (OCS) 3207, a Server for Programme Broadcaster (SPB) 3208, a Message Generator (MG) 3210 whose function is basically the same as that for the Subscription Chain area and is hence not described further in any detail, and the Ciphering Unit 3008.

The EMM Injector 3300 comprises a plurality of Message Emitters (MEs) 3302, 3304, 3306 and 3308 and Software Multiplexers (SMUXs) 3310 and 3312. In the preferred embodiment, there are two MEs, 3302 and 3304 for the Message Generator 3106, with the other two MEs 3306 and 3308 for the Message Generator 3210. MEs 3302 and 3306 are connected to the SMUX 3310 whilst MEs 3304 and 3308 are connected to the SMUX 3312.

Each of the three main components of the SAS (the Subscription Chain area, the PPV Chain area and the EMM Injector) are now considered in more detail.

### Subscription Chain Area

Considering first the Subscription Chain area 3100, the Command Interface 3102 is primarily for despatching messages from the SMS 3004 to the STM server 3104, as well as to the OCS 3206, and from the OCS to the SMS. The Command Interface takes as input from the SMS either direct commands or batch files containing commands. It performs syntactic analysis on the messages coming from the STM server, and is able to emit accurate messages when an error occurs in a message (parameter out of range, missing parameter, and so on). It traces incoming commands in textual form in a trace file 3110 and also in binary form in a replay file 3112 in order to be able to replay a series of commands. Traces can be disabled and the size of files limited.

Detailed discussion of the STM server 3104 is now provided with particular reference to Figure 6. The STM server is effectively the main engine of the Subscription Chain area, and has the purpose of managing free rights, the creation of new subscribers and the renewal of existing subscribers. As shown in the figure, commands are passed on

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to the Message Generator 3106, albeit in a different format from that in which the commands are passed to the STM server. For each command, the STM server is arranged to send an acknowledgement message to the CI only when the relevant command has been successfully processed and sent to the MG.

- 5 The STM server includes a subscriber database 3120, in which all the relevant parameters of the subscribers are stored (smartcard number, commercial offers, state, group and position in the group, and so on). The database performs semantic checks of the commands sent by the CI 3102 against the content of the database, and updates the database when the commands are valid.
- 10 The STM server further manages a First In First Out (FIFO) buffer 3122 between the STM server and the MG, as well as a backup disk FIFO 3124. The purpose of the FIFOs is to average the flow of commands from the CI if the MG is not able to respond for a while for any reason. They can also ensure that in the case of a crash of the STM server or MG no command will be lost, since the STM server is arranged
- 15 to empty (that is, send to the MG) its FIFOs when restarted. The FIFOs are implemented as files.

The STM server includes at its core an automatic renewal server 3126 which automatically generates renewals, and, if required by the operators, free rights. In this context, the generation of renewals may be thought of as including the generation of

20 rights for the first time, although it will be understood that the generation of new rights is initiated at the SMS. As will become apparent, the two can be treated by roughly the same commands and EMMs.

Having the STM separate from the SAS, and the automatic renewal server within the SAS rather than (in known systems) in the SMS 3004, is a particularly important

25 feature, since it can significantly reduce the number of commands which need to be passed from the SMS to the SAS (bearing in mind that the SMS and SAS may be in different locations and operated by different operators). In fact, the two main commands required from the SMS are merely commands that a new subscription

should be started and that an existing subscription should be stopped (for example in the case of non-payment). By minimising command exchange between the SMS and SAS, the possibility of failure of command transfer in the linkage 3006 between the two is reduced; also, the design of the SMS does not need to take into account the features of the conditional access system 3000 generally.

Automatic renewal proceeds in the fashion indicated in the flow diagram of Figure 7. In order to reduce bandwidth, and given that a very high percentage of all renewals are standard, renewal proceeds in groups of subscribers; in the preferred embodiments there are 256 individual subscribers per group. The flow diagram begins with the start step 3130, and proceeds to step 3132 where a monthly activation of the renewal function is made (although of course it will be appreciated that other frequencies are also possible). With a monthly frequency, rights are given to the end user for the current month and all of the following month, at which point they expire if not renewed.

In step 3134 the subscriber database 3120 is accessed in respect of each group and each individual within that group to determine whether rights for the particular individual are to be renewed.

In step 3136, a group subscription bitmap is set up according to the contents of the subscriber database, as shown in Figure 8. The bitmap comprises a group identifier (in this case Group 1 - "G1") 3138 and 256 individual subscriber zones 3140. The individual bits in the bitmap are set to 1 or zero according to whether or not the particular subscriber is to have his rights renewed. A typical set of binary data is shown in the figure.

In step 3142 the appropriate commands, including the group subscription bitmap, are passed to the Message Generator 3106. In step 3143 the Message Generator sets an obsolescence date to indicate to the smartcard the date beyond which the particular subscription EMM is not valid; typically this date is set as the end of the next month.

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In step 3144 the Message Generator generates from the commands appropriate group subscription EMMs and asks the Ciphering Unit 3008 to cipher the EMMs, the ciphered EMMs being then passed to the EMM Injector 3300, which, in step 3146, injects the EMMs into the MPEG-2 data stream.

- 5     Step 3148 indicates that the above described procedure is repeated for each and every group. The process is finally brought to an end at stop step 3150.

The flow diagram described above with reference to Figure 7 relates in fact specifically to the renewal of subscriptions. The STM also manages in a similar way free audience rights and new subscribers.

- 10    In the case of free audience rights, available for specific television programmes or groups of such programmes, these are made available by the STM issuing a command to the Message Generator to generate appropriate audience EMMs (for a whole audience) with an obsolescence date a given number of days (or weeks) hence. The MG computes the precise obsolescence date based on the STM command.
- 15    In the case of new subscribers, these are dealt with in two stages. Firstly, on purchase the smartcard in the receiver/decoder 2020 (if desired by the operator) affords the subscriber free rights for a given period (typically a few days). This is achieved by generating a bitmap for the subscriber which includes the relevant obsolescence date. The subscriber then passes his completed paperwork to the operator managing the
- 20    subscriber (at the SMS). Once the paperwork has been processed, the SMS supplies to the SAS a start command for that particular subscriber. On receipt by the SAS of the start command, the STM commands the MG to assign a unique address to the new subscriber (with a particular group number and position within the group) and to generate a special, so-called "commercial offer" subscription EMM (as opposed to the
- 25    more usual "group" subscription EMM used for renewals) to provide the particular subscriber with rights until the end of the next month. From this point renewal of the subscriber can occur automatically as described above. By this two stage process it is possible to grant new subscribers rights until the SMS issues a stop command.



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It is to be noted that the commercial offer subscription EMM is used for new subscribers and for reactivation of existing subscribers. The group subscription EMM is used for renewal and suspension purposes.

5 With reference to Figure 9, a typical subscription EMM proper (that is, ignoring the header and signature) generated by the above procedure comprises the following main portions, namely typically a 256 bit subscription (or subscribers' group) bitmap 3152, 128 bits of management ciphering keys 3154 for the ciphering of the EMM, 64 bits of each exploitation ciphering key 3156 to enable the smartcard 3020 to decipher a control word to provide access to broadcast programmes, and 16 bits of obsolescence  
10 date 3158 to indicate the date beyond which the smartcard will ignore the EMM. In fact in the preferred embodiment three exploitation keys are provided, one set for the present month, one set for the next month, and one for resume purposes in the event of system failure.

15 In more detail, the group subscription EMM proper has all of the above components, except the management ciphering keys 3154. The commercial offer subscription EMM proper (which is for an individual subscriber) includes instead of the full subscribers' group bitmap 3152 the group ID followed by the position in the group, and then management ciphering keys 3154 and three exploitation keys 3156, followed by the relevant obsolescence date 3158.

20 The Message Generator 3106 serves to transform commands issued by the STM server 3104 into EMMs for passing to the Message Emitter 3302. With reference to Figure 5, firstly, the MG produces the EMMs proper and passes them to the Ciphering Unit 3008 for ciphering with respect to the management and exploitation keys. The CU completes the signature 3064 on the EMM (see Figure 3) and passes the EMM back  
25 to the MG, where the header 3060 is added. The EMMs which are passed to the Message Emitter are thus complete EMMs. The Message Generator also determines the broadcast start and stop time and the rate of emission of the EMMs, and passes these as appropriate directions along with the EMMs to the Message Emitter. The MG only generates a given EMM once; it is the ME which performs its cyclic

transmission.

Again with reference to Figure 5, the Message Generator includes its own EMM database 3160 which, for the lifetime of the relevant EMM, stores it. It is erased once its emission duration has expired. The database is used to ensure consistency between  
5 the MG and ME, so that for example when an end user is suspended the ME will not continue to send renewals. In this regard the MG computes the relevant operations and sends them to the ME.

On generation of an EMM, the MG assigns a unique identifier to the EMM. When the MG passes the EMM to the ME, it also passes the EMM ID. This enables  
10 identification of a particular EMM at both the MG and the ME.

Also concerning the Subscription Chain area, the Message Generator includes two FIFOs 3162 and 3164, one for each of the relevant Message Emitters 3302 and 3304 in the EMM Injector 3300, for storing the ciphered EMMs. Since the Subscription Chain area and EMM Injector may be a significant distance apart, the use of FIFOs  
15 can allow full continuity in EMM transmission even if the links 3166 and 3168 between the two fail. Similar FIFO's are provided in the Pay Per View Chain area.

One particular feature of the Message Generator in particular and the conditional access system in general concerns the way that it reduces the length of the EMM proper 3062 by mixing parameter length and identifier to save space. This is now  
20 described with reference to Figure 10 which illustrates an exemplary EMM (in fact a PPV EMM, which is the simplest EMM). The reduction in length occurs in the Pid (Packet or "Parameter" identifier) 3170. This comprises two portions, the actual ID 3172, and the length parameter for the packet 3174 (necessary in order that the start of the next packet can be identified). The whole Pid is expressed in just one byte of  
25 information, 4 bits being reserved for the ID, and four for the length. Because 4 bits is not sufficient to define the length in true binary fashion, a different correspondence between the bits and the actual length is used, this correspondence being represented in a look-up table, stored in storage area 3178 in the Message Generator (see Figure

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5). The correspondence is typically as follows:-

	0000	=	0
	0001	=	1
	0010	=	2
5	0011	=	3
	0100	=	4
	0101	=	5
	0110	=	6
	0111	=	7
10	1000	=	8
	1001	=	9
	1010	=	10
	1011	=	11
	1100	=	12
15	1101	=	16
	1110	=	24
	1111	=	32

It will be seen that the length parameter is not directly proportional to the actual length of the packet; the relationship is in part more quadratic rather than linear. This allows for a greater range of packet length.

#### Pay Per View Chain Area

Concerning the Pay Per View Chain area 3200, with reference to Figure 5 in more detail the Authorisation Server 3202 has as its client the Order Centralized Server 3207, which requests information about each subscriber which connects to the Communications Servers 3022 to purchase a PPV product.

If the subscriber is known from the AS 3202, a set of transactions takes place. If the subscriber is authorized for the order, the AS creates a bill and sends it to the OCS. Otherwise, it signals to the OCS that the order is not authorized.

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It is only at the end of this set of transactions that the AS updates the end users database 3204 via the database servers (DBAS) 3206, if at least one transaction was authorized; this optimizes the number of database accesses.

5 The criteria according to which the AS authorizes purchase are stored in the database, accessed through DBAS processes. In one embodiment, the database is the same as the database accessed by the STM.

Depending on consumer profile, the authorization may be denied (PPV\_Forbidden,Casino\_Forbidden ...). These kind of criteria are updated by STM 3104, on behalf of the SMS 3004.

10 Other parameters are checked, such as limits allowed for purchase (either by credit card, automatic payment, or number of authorized token purchases per day).

In case of payment with a credit card, the number of the card is checked against a local blacklist stored in the local blacklist database 3205.

When all the verifications are successful, the AS:-

15 1. Generates a bill and sends it to the OCS, which completes this bill and stores it in a file, this file being later sent to the SMS for processing (customer actual billing); and

2. Updates the database, mainly to set new purchase limits.

This check-and-generate-bill-if-OK mechanism applies for each command a subscriber  
20 may request during a single connection (it is possible to order e.g. 5 movies in a single session).

It is to be noted that the AS has a reduced amount of information concerning the subscriber, by comparison with that held by the SMS. For example, the AS does not hold the name or address of the subscriber. On the other hand, the AS does hold the  
25 smartcard number of the subscriber, the subscriber's consumer category (so that different offers can be made to different subscribers), and various flags which state

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whether, for example, the subscriber may purchase on credit, or he is suspended or his smartcard has been stolen. Use of a reduced amount of information can help to reduce the amount of time taken to authorize a particular subscriber request.

5 The main purpose of the DBASs 3206 is to increase database performance seen from the AS, by paralleling the accesses (so actually it does not make much sense to define a configuration with only one DBAS). An AS parameter determines how many DBASes should connect. A given DBAS may be connected to only one AS.

The OCS 2307 mainly deals with PPV commands. It operates in several modes.

10 Firstly, it operates to process commands issued by the SMS, such as product refreshment (for instance, if the bill is already stored by the SMS, no bill is generated by the OCS), update of the wallet in the smartcard 3020, and session cancellation/update.

The various steps in the procedure are:-

1. Identifying the relevant subscriber (using the AS 3202);
- 15 2. If valid, generate adequate commands to the Message Generator, in order to send an appropriate EMM. Commands may be:
  - Product commands,
  - Update of the wallet,
  - Session erasure.

20 Note that these operations do not imply creation of billing information, since billing is already known from the SMS. These operations are assimilated to "free products" purchase.

Secondly, the OCS deals with commands received from the subscribers through the Communications Servers 3022. These may be received either via a modem connected  
25 to the receiver/decoder 2020, or by voice activation via the telephone 4001, or by key activation via a MINITEL, PRESTEL or like system where available.

Thirdly, the OCS deals with callback requests issued by the SMS. These last two modes of operation are now discussed in more detail.

In the second type of mode described above it was stated that the OCS deals with commands received directly from the end user (subscriber) through the Communications Servers 3022. These include product orders (such as for a particular PPV event), a subscription modification requested by the subscriber, and a reset of a parental code (a parental code being a code by which parents may restrict the right of access to certain programmes or classes of programmes).

The way in which these commands are dealt with is now described in more detail with reference to Figure 11.

Product orders by a subscriber involve the following steps:

1. Identifying through the AS the caller who is making a call through the CS 3022 ordering a particular product;
2. Checking the caller's request validity, again using the AS (where the order is placed using the receiver/decoder 2020, this is achieved by verifying the smartcard 3020 details);
3. Ascertain the price of the purchase;
4. Check that the price does not exceed the caller's credit limit etc;
5. Receiving a partial bill from the AS;
6. Filling additional fields in the bill to form a completed bill;
7. Adding the completed bill to a billing information storage file 3212 for later processing; and
8. Sending corresponding command(s) to the PPV Message Generator 3210 to generate the relevant EMM(s).

The EMM(s) is sent either on the modem line 4002 if the consumer placed the product order using the receiver/decoder 2020 (more details of this are described later), or else it is broadcast. The one exception to this is where there is some failure of the modem connection (in the case where the consumer places the order using the

receiver/decoder); in this event the EMM is broadcast over the air.

A subscription modification requested by a subscriber involves:

1. Identifying the caller (using the AS);
2. Sending information to the Command Interface; the CI in turn forwards this  
5 information to the SMS; and
3. Via the CI, the OCS then receives an answer from the SMS (in terms of the  
cost of the modification, if the modification is possible).

If modification was requested using the receiver/decoder, the OCS generates a  
confirmation to the SMS. Otherwise, for example in the case of phone or Minitel, the  
10 subscriber is prompted for confirmation and this answer sent to the SMS via the OCS  
and the CI.

Reset of a parental code involves:

1. Identifying the caller (using AS); and
2. Sending a command to the MG to generate an appropriate EMM bearing an  
15 appropriate reset password.

In the case of reset of parental code, the command to reset the code is for security  
reasons not permitted to originate from the receiver/decoder. Only the SMS, telephone  
and MINITEL or like can originate such a command. Hence in this particular case  
the EMM(s) are broadcast only on air, never on the telephone line.

20 It will be understood from the above examples of different modes of operation of the  
OCS that the user can have direct access to the SAS, and in particular the OCS and  
AS, in that the Communications Servers are directly connected to the SAS, and in  
particular the OCS. This important feature is concerned with reducing the time for  
the user to communicate his command to the SAS.

25 This feature is illustrated further with reference to Figure 12, from which it can be  
seen that the end user's Set-Top-Box, and in particular its receiver/decoder 2020, has

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the capability of communicating directly with the Communications Servers 3022 associated with the SAS 3002. Instead of the connection from the end user to the Communications Servers 3022 of the SAS 3002 being through the SMS 3004 the connection is directly to the SAS 3002.

5 In fact, as directly mentioned two direct connections are provided.

The first direct connection is by a voice link via a telephone 4001 and appropriate telephone line (and/or by MINITEL or like connection where available) where the end users still have to input a series of voice commands or code numbers but time is saved compared with the communication being via the SMS 3004.

10 The second direct connection is from the receiver/decoder 2020 and the input of data is achieved automatically by the end user inserting his own daughter smartcard 3020 thus relieving the end user of the job of having to input the relevant data which in turn reduces the time taken and the likelihood of errors in making that input.

15 A further important feature which arises out of the above discussion is concerned with reducing the time taken for the resulting EMM to be transmitted to the end user in order to initiate viewing by the end user of the selected product.

In broad terms, and with reference to Figure 12, the feature is again achieved by providing the end user's receiver/decoder 2020 with the capability of communicating directly with the Communications Servers 3022 associated with the SAS 3002.

20 As described earlier the integrated receiver/decoder 2020 is connected directly to the Communications Servers 3022 by the modemmed back channel 4002 so that commands from the decoder 2020 are processed by the SAS 3002, messages generated (including EMMs) and then sent back directly to the decoder 2020 through the back channel 4002. A protocol is used in the communication between the CS 3022 and the  
25 receiver/decoder 2020 (as described later), so that the CS receive acknowledgement of receipt of the relevant EMM, thereby adding certainty to the procedure.



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Thus, for example, in the case of a pre-book mode the SAS 3002 receives messages from the end user via the smartcard and decoder 2020 via its modem and via the telephone line 4002, requesting access to a specific event/product, and returns a suitable EMM via the telephone line 4002 and modem to the decoder 2020, the  
5 modem and decoder being preferably located together in a Set-Top-Box (STB). This is thus achieved without having to transmit the EMM in the MPEG-2 data stream 2002 via the multiplexer and scrambler 2004, the uplink 2012, satellite 2014 and datalink 2016 to enable the end user to view the event/product. This can save considerably on time and bandwidth. Virtual certainty is provided that as soon as the subscriber has  
10 paid for his purchase the EMM will arrive at the receiver/decoder 2020.

In the third type of mode of operation of the OCS 3207 described above, the OCS deals with callback requests issued by the SAS. This is illustrated with reference to Figure 13. Typical callback requests have the purpose of ensuring that the receiver/decoder 2020 calls back the SAS via the modammed back channel 4002 with  
15 the information that the SAS requires of the receiver /decoder.

As instructed by the Command Interface 3102, the subscription chain Message Generator 3106 generates and sends to the receiver/decoder 202 a callback EMM. This EMM is ciphered by the Ciphering Unit 3008 for security reasons. The EMM may contain the time/date at which the receiver/decoder should wake up and perform  
20 a callback on its own, without being explicitly solicited; the EMM may also typically contain the phone numbers which the terminal must dial, the number of further attempts after unsuccessful calls and the delay between two calls.

When receiving the EMM, or at the specified time-date, the receiver/decoder connects to the Communications Servers 3022. The OCS 3207 first identifies the caller, using  
25 the AS 3202, and verifies certain details, such as smartcard operator and subscriber details. The OCS then asks the smartcard 3020 to send various ciphered information (such as the relevant session numbers, when the session was watched, how many times the subscriber is allowed to view the session again, the way in which the session was viewed, the number of remaining tokens, the number of prebooked sessions, etc). This

information is deciphered by the PPV chain Message Generator 3210, again using the Ciphering Unit 3008. The OCS adds this information to a callback information storage file 3214 for later processing and passing to the SMS 3004. The information is ciphered for security reasons. The whole procedure is repeated until there is  
5 nothing more to be read from the smartcard.

One particular preferred feature of the callback facility is that before reading the smartcard (so just after the identification of the caller using the AS 3202 as described above) a check is made by the SAS 3002 that the receiver/decoder is indeed a genuine one rather than a pirated version or computer simulation. Such a check is carried out  
10 in the following manner. The SAS generates a random number, which is received by the receiver/decoder, ciphered, and then returned to the SAS. The SAS decipheres this number. If the deciphering is successful and the original random number is retrieved, it is concluded that the receiver/decoder is genuine, and the procedure continues. Otherwise, the procedure is discontinued.

15 Other functions which may occur during the callback are erasure of obsolete sessions on the smartcard, or filling of the wallet (this latter also being described later under the section entitled "Smartcard").

Also as regards the Pay Per View Chain area 3200, description is now made of the Communications Servers 3022. At the hardware level, these comprise in the preferred  
20 embodiment a DEC Four parallel processor machine. At the software architecture level, with reference to Figure 14, in many respects the Communications Servers are conventional. One particular divergence from conventional designs arises from the fact that the Servers must serve both receiver/decoders 2020 and voice communication with conventional telephones 4001, as well possibly as MINITEL or like systems.

25 It will be noted in passing that two Order Centralized Servers 3207 are shown in Figure 14 (as "OCS1" and "OCS2"). Naturally any desired number may be provided.

The Communication Servers include two main servers ("CS1" and "CS2") as well as

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a number of frontal servers ("Frontal 1" and "Frontal 2"); whilst two frontal servers are shown in the figure, typically 10 or 12 may be provided per main server. Indeed, although two main servers CS1 and CS2 and two frontal servers, Frontal 1 and Frontal 2, have been shown, any number could be used. Some redundancy is usually  
5 desirable.

CS1 and CS2 are coupled to OCS1 and OCS2 via high level TCP/IP links 3230, whilst CS1 and CS2 are coupled to Frontal 1 and Frontal 2 via further TCP/IP links 3232.

As illustrated, CS1 and CS2 comprise servers for "SENDER" (transmission), "RECV" (reception), "VTX" (MINITEL, PRESTEL or the like), "VOX" (voice communication), and "TRM" (communication with the receiver/decoder). These are  
10 coupled to the "BUS" for communication of signals to the Frontal servers.

CS1 and CS2 communicate directly with the receiver/decoders 2020 via their modemed back channels 4002 using the X25 public network common protocol. The  
15 relatively low-level protocol between the Communications Servers 3022 and the receiver/decoders 3020 is in one preferred embodiment based upon the V42 standard international CCITT protocol, which provides reliability by having error detection and data re-transmission facilities, and uses a checksum routine to check the integrity of the re-transmission. An escape mechanism is also provided in order to prevent the  
20 transmission of disallowed characters.

On the other hand, voice telephone communication is carried out via the Frontal Communications Servers, each capable of picking up, say, 30 simultaneous voice connections from the connection 3234 to the local telephone network via the high speed "T2" (E1) standard telephony ISDN lines.

25 Three particular functions of the software portion of the Communications Servers (which could of course alternatively be implemented fully in hardware) are firstly to convert the relatively low level protocol information received from the

receiver/decoder into the relatively high level protocol information output to the OCS, secondly to attenuate or control the number of simultaneous connections being made, and thirdly to provide several simultaneous channels without any mixing. In this last regard, the Communications Servers play the role of a form of multiplexer, with the interactions in a particular channel being defined by a given Session ID (identifier), which is in fact used throughout the communication chain.

Finally as regards the Pay Per View Chain area 3200, and with reference again to Figure 5, the Server for Programme Broadcast (SPB) 3208 is coupled to one or more Programme Broadcasters 3250 (which would typically be located remotely from the SAS) to receive programme information. The SPB filters out for further use information corresponding to PPV events (sessions).

A particularly important feature is that the filtered programme event information is passed by the SPB to the MG which in turn sends a directive (control command) to the ME to change the rate of cyclic emission of the EMMs in given circumstances; this is done by the ME finding all EMMs with the relevant session identifier and changing the cycle rate allocated to such EMMs. This feature might be thought of as a dynamic allocation of bandwidth for specific EMMs. Cyclic EMM emission is discussed in more detail in the section below concerned with the EMM Injector.

The circumstances in which the cycle rate is changed are now described with reference to Figure 15, which demonstrates how cycle rate 3252 is raised a short while (say 10 minutes) before a particular PPV programme event until the end of the event from a slow cycle rate of say once every 30 minutes to a fast cycle rate of say once every 30 seconds to 1 minute in order to meet the anticipated extra user demand for PPV events at those times. In this way bandwidth can be allocated dynamically according to the anticipated user demand. This can assist in reducing the overall bandwidth requirement.

The cycle rate of other EMMs may also be varied. For example the cycle rate of subscription EMMs may be varied by the Multiplexer and Scrambler 2004 sending the

appropriate bitrate directive.

### EMM Injector

Concerning the EMM Injector 3300, details of the Message Emitters 3302 to 3308, forming part of the EMM Injector and acting as output means for the Message  
5 Generator, are now described with reference to Figure 16. Their function is take the EMMs and to pass them cyclically (in the manner of a carousel) via respective links 3314 and 3316 to the Software Multiplexers 3310 and 3312 and thence to the hardware multiplexers and scramblers 2004. In return the software multiplexers and  
10 scramblers 2004 generate a global bitrate directive to control the overall cycling rate of the EMMs; to do so, the MEs take into account various parameters such as the cycle time, the size of EMM, and so on. In the figure, EMM\_X and EMM\_Y are group EMMs for operators X and Y, whilst EMM\_Z are other EMMs for either operator X or operator Y.

Further description proceeds for an exemplary one of the Message Emitters; it will be  
15 appreciated that the remaining MEs operate in similar fashion. The ME operates under control of directives from the MG, most notably transmission start and stop time and emission rate, as well as session number if the EMM is a PPV EMM. In relation to the emission rate, in the preferred embodiment the relevant directive may take one of five values from Very fast to Very slow. The numeric values are not specified in  
20 the directive, but rather the ME maps the directive to an actual numeric value which is supplied by the relevant part of the SAS. In the preferred embodiment, the 5 emission rates are as follows:-

- |    |    |           |                     |
|----|----|-----------|---------------------|
|    | 1. | Very fast | - every 30 seconds. |
|    | 2. | Fast      | - every minute      |
| 25 | 3. | Medium    | - every 15 minutes  |
|    | 4. | Slow      | - every 30 minutes  |
|    | 5. | Very slow | - every 30 minutes  |

The ME has first and second databases 3320 and 3322. The first database is for those

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EMMs which have not yet achieved their broadcast date; these are stored in a series of chronological files in the database. The second database is for EMMs for immediate broadcast. In the event of a system crash, the ME is arranged to have the ability to re-read the relevant stored file and perform correct broadcast. All the files  
5 stored in the databases are updated upon request from the MG, when the MG wishes to maintain consistency between incoming directives and EMMs already sent to the ME. The EMMs actually being broadcast are also stored in Random Access Memory 3324.

A combination of the FIFOs 3162 and 3164 in the Message Generator and the  
10 databases 3320 and 3322 in the Message Emitter means that the two can operate in standalone mode if the link 3166 between them is temporarily broken; the ME can still broadcast EMMs.

The Software Multiplexers (SMUX) 3310 and 3312 provide an interface between the MEs and the hardware multiplexers 2004. In the preferred embodiment, they each  
15 receive EMMs from two of the MEs, although in general there is no restriction on the number of MEs that can be connected with one SMUX. The SMUXs concentrate the EMMs and then pass them according to the type of EMM to the appropriate hardware multiplexer. This is necessary because the hardware multiplexers take the different types of EMMs and place them at different places in the MPEG-2 stream. The  
20 SMUX's also forward global bitrate directives from the hardware multiplexers to the MEs.

One particularly important feature of the ME is that it emits EMMs in random order. The reason for this is as follows. The Message Emitter has no ability to sense or control what it emits to the multiplexer. Hence it is possible that it may transmit two  
25 EMMs which are to be received and decoded by the receiver/decoder 2020 back to back. In such circumstances, further, it is possible that if the EMMs are insufficiently separated the receiver/decoder and smartcard will be unable to sense and decode properly the second of the EMMs. Cyclically emitting the EMMs in random order can solve this problem.

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The manner in which randomization is achieved is now described with reference to Figure 17; in the preferred embodiment the necessary software logic is implemented in the ADA computer language. A particularly important part of the randomization is the correct storage of the EMMs in the databases 3320 and 3322 (which are used for backup purposes) and in the RAM 3324. For a particular cycle rate and operator, the EMMs are stored in a two-dimensional array, by rank 3330 (going say from A to Z) and number in the rank 3332 (going from 0 to N). A third dimension is added by cycle rate 3334, so that there are as many two-dimensional arrays as there are cycle rates. In the preferred embodiment there are 256 ranks and typically 200 or 300 EMMs in each rank; there are 5 cycle rates. A final dimension to the array is added by the presence of different operators; there are as many three-dimensional arrays as there are operators. Storage of the data in this fashion can permit rapid retrieval in the event that the MG wants to delete a particular EMM.

Storage of the EMMs takes place according to the "hash" algorithm (otherwise known as the "one-way hash function". This operates on a modulo approach, so that successive ranks are filled before a higher number in the rank is used, and the number of EMMs in each rank remains roughly constant. The example is considered of there being 256 ranks. When the MG sends the ME an EMM with identifier (ID) 1, the rank "1" is assigned to this EMM, and it takes the first number 3332 in the rank 3330. The EMM with ID 2 is assigned the rank "2", and so on, up to the rank 256. The EMM with ID 257 is assigned the rank "1" again (based on the modulo function), and takes the second number in the first rank, and so on.

Retrieval of a specific EMM, for example when deletion of a specific EMM is requested by the MG, is effected by means of the inverse of the above. The hash algorithm is applied to the EMM ID to obtain the rank, after which the number in the rank is found.

The actual randomization occurs when the EMMs are, on a cyclical basis, retrieved from RAM 3324 using the randomization means 3340 which is implemented in the hardware and/or software of the Message Emitter. The retrieval is random, and again

based on the hash algorithm. Firstly, a random number (in the above example initially in the range 1 to 256) is chosen, to yield the particular rank of interest. Secondly, a further random number is chosen to yield the particular number in the rank. The further random number is selected according to the total number of EMMs in a given rank. Once a given EMM has been selected and broadcast, it is moved to a second identical storage area in the RAM 3324, again using the hash function. Hence the first area diminishes in size as the EMMs are broadcast, to the extent that, once a complete rank has been used, this is deleted. Once the first storage area is completely empty, it is replaced by the second storage area before a new round of EMM broadcast, and vice versa.

In the above fashion, after two or three cycles of the EMMs, statistically the chances of any two EMMs destined for the same end user being transmitted back to back is negligible.

At regular intervals whilst the EMMs are being stored the computer 3050 computes the number of bytes in storage and from this computes the bitrate of emission given the global bitrate directive from the multiplexer and software multiplexer.

Reference was made above to the backup databases 3320 and 3322. These are in fact in the preferred embodiment sequential file stores, which hold a backup version of what is in the RAM 3324. In the event of failure of the Message Emitter and subsequent restart, or more generally when the ME is being restarted for whatever reason, a link is made between the RAM and the databases, over which the stored EMMs are uploaded to RAM. In this way, the risk of losing EMMs in the event of failure can be removed.

Similar storage of PPV EMMs occurs to that described above in relation to subscription EMMs, with the rank typically corresponding to a given operator and the number in the rank corresponding to the session number.

### Smartcard



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A daughter, or "subscriber", smartcard 3020 is schematically shown in Figure 18 and comprises an 8 bit microprocessor 110, such as a Motorola 6805 microprocessor, having an input/output bus coupled to a standard array of contacts 120 which in use are connected to a corresponding array of contacts in the card reader of the receiver/decoder 2020, the card reader being of conventional design. The microprocessor 110 is also provided with bus connections to preferably masked ROM 130, RAM 140 and EEPROM 150. The smartcard complies with the ISO 7816-1, 7816-2 and 7816-3 standard protocols which determine certain physical parameters of the smartcard, the positions of the contacts on the chip and certain communications between the external system (and particularly the receiver/decoder 2020) and the smartcard respectively and which will therefore not be further described here. One function of the microprocessor 110 is to manage the memory in the smartcard, as now described.

The EEPROM 150 contains certain dynamically-created operator zones 154, 155, 156 and dynamically-created data zones which will now be described with reference to Figure 19.

Referring to Figure 19, EEPROM 150 comprises a permanent "card ID" (or manufacturer) zone 151 of 8 bytes which contains a permanent subscriber smartcard identifier set by the manufacturer of the smartcard 3020.

When the smartcard is reset, the microprocessor 110 issues a signal to receiver/decoder 2020, the signal comprising an identifier of the conditional access system used by the smartcard and data generated from data stored in the smartcard, including the card ID. This signal is stored by the receiver/decoder 2020, which subsequently utilises the stored signal to check whether the smartcard is compatible with the conditional access system used by the receiver/decoder 2020.

The EEPROM 150 also contains a permanent "random number generator" zone 152 which contains a program for generating pseudo-random numbers. Such random numbers are used for diversifying transaction output signals generated by the smartcard

3020 and sent back to the broadcaster.

Below the random number generator zone 152 a permanent "management" zone 153 of 144 bytes is provided. The permanent management zone 153 is a specific operator zone utilised by a program in the ROM 130 in the dynamic creation (and removal) of  
5 zones 154, 155, 156... as described below. The permanent management zone 153 contains data relating to the rights of the smartcard to create or remove zones.

The program for dynamically creating and removing zones is responsive to specific zone creation (or removal) EMMs which are transmitted by the SAS 3002 and received by the receiver/decoder 2020 and passed to the subscriber smartcard 3020.  
10 In order to create the EMMs the operator requires specific keys dedicated to the management zone. This prevents one operator from deleting zones relating to another operator.

Below the management zone 153 is a series of "operator ID" zones 154, 155, 156 for operators 1, 2 ..... N respectively. Normally at least one operator ID zone will be  
15 preloaded into the EEPROM of the subscriber smartcard 3020 so that the end user can decrypt programmes broadcast by that operator. However further operator ID zones can subsequently be dynamically created using the management zone 153 in response to a transaction output signal generated via his smartcard 3020 by the end user (subscriber), as will subsequently be described.

20 Each operator zone 154, 155, 156 contains the identifier of the group to which the smartcard 3020 belongs, and the position of the smartcard within the group. This data enables the smartcard (along with the other smartcards in its group) to be responsive to a broadcast "group" subscription EMM having that group's address (but not the smartcard's position in the group) as well as to an "individual" (or commercial offers  
25 subscription) EMM addressed only to that smartcard within the group. There can be 256 member smartcards of each such group and this feature therefore reduces significantly the bandwidth required for broadcasting EMMs.

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In order to reduce further the bandwidth required for broadcasting "group" subscription EMMs, the group data in each operator zone 154, 155, 156 and all similar zones in the EEPROM of smartcard 3020 and the other daughter smartcards is continually updated to enable a particular smartcard to change its position in each group to fill any  
5 holes created by e.g. deletion of a member of the group. The holes are filled by the SAS 3002 as in the STM server 3104 there is a list of such holes.

In this manner fragmentation is reduced and each group's membership is maintained at or near the maximum of 256 members.

Each operator zone 154, 155, 156 is associated with one or more "operator data  
10 objects" stored in the EEPROM 150. As shown in Figure 19, a series of dynamically created "operator data" objects 157-165 are located below the operator ID zones. Each of these objects is labelled with:

- a) an "identifier" 1, 2, 3 .... N corresponding to its associated operator 1, 2, 3 ...  
N as shown in its left hand section in Figure 19;
- 15 b) an "ID" indicating the type of object; and
- c) a "data" zone reserved for data, as shown in the right hand section of each relevant operator object in Figure 19. It should be understood that each operator is associated with a similar set of data objects so that the following description of the types of data in the data objects of operator 1 is also applicable to the data objects of  
20 all the other operators. Also it will be noted that the data objects are located in contiguous physical regions of the EEPROM and that their order is immaterial.

Deletion of a data object creates a "hole" 166 in the smartcard, that is, the number of bytes that the deleted objects had previously occupied are not immediately occupied.

The thus "freed" number of bytes, or "hole" are labelled with:

- 25 a) an "identifier" 0; and
- b) an "ID" indicating that the bytes are free to receive an object.

The next data object created fills the hole, as identified by the identifier 0. In this manner the limited memory capacity (4 kilobytes) of the EEPROM 150 is efficiently

utilised.

Turning now to the set of data objects associated with each operator, examples of the data objects are now described.

5 Data object 157 contains an EMM key used for decrypting encrypted EMM's received by the receiver/decoder 2020. This EMM key is permanently stored in the data object 157. This data object 157 may be created prior to distribution of the smartcard 3020, and/or may be created dynamically when creating a new operator zone (as described above).

10 Data object 159 contains ECM keys which are sent by the associated operator (in this case operator 1) to enable the end user to decrypt the particular "bouquet" of programs to which he has subscribed. New ECM keys are sent typically every month, along with a group subscription (renewal) EMM which renews the end user's overall right to view the broadcast from (in this case) operator 1. The use of separate EMM and ECM keys enables viewing rights to be purchased in different ways (in this  
15 embodiment by subscription and individually (Pay Per View)) and also increases security. The Pay Per View (PPV) mode will be described subsequently.

Since new ECM keys are sent periodically, it is essential to prevent a user from using old ECM keys, for example by switching off the receiver/decoder or re-setting a clock to prevent expiry of an old ECM key so that a timer in the receiver/decoder 2020  
20 could be overridden. Accordingly operator zone 154 comprises an area (typically having a size of 2 bytes) containing an obsolescence date of the ECM keys. The smartcard 3020 is arranged to compare this date with the current date which is contained in received ECMs and to prevent decryption if the current date is later than the obsolescence date. The obsolescence date is transmitted via EMMs, as described  
25 above.

Data object 161 contains a 64 bit subscription bitmap which is an exact representation of the broadcast operator's programs to which the subscriber has subscribed. Every bit

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represents a program and is set to "1" if it is subscribed to and "0" if it is not.

5 Data object 163 contains a quantity of tokens which can be used by the consumer in PPV mode to buy viewing rights to an imminent broadcast e.g. in response to a free preview or other advertisement. Data object 163 also contains a limit value, which may be set to e.g. a negative value to allow credit to the consumer. Tokens can be purchased e.g. by credit and via the modemmed back channel 4002, or by using a voice server in combination with a credit card, for example. A particular event can be charged as one token or a number of tokens.

10 Data object 165 contains a description of a PPV event, as shown with reference to table 167 of Figure 20.

The PPV event description 167 contains a "session ID" 168 identifying the viewing session (corresponding to the program and the time and date of broadcasting) a "session mode" 169 indicating how the viewing right is being purchased (e.g. in pre-book mode), a "session index" 170 and a "session view" 171.

15 In respect of receiving a programme in PPV mode, the receiver decoder 200 determines whether the programme is one sold in PPV mode. If so, the decoder 200 checks, using the items stored in the PPV event description 167 whether the session ID for the programme is stored therein. If the session ID is stored therein, the control word is extracted from the ECM.

20 If the session ID is not stored therein, by means of a specific application the receiver/decoder 200 displays a message to the end user indicating that he has the right to view the session at a cost of, say, 25 tokens, as read from the ECM or to connect to the communications servers 3022 to purchase the event. Using the tokens, if the end user answers "yes" (by means of remote controller 2026 (see Figure 2)) the decoder 200 sends the ECM to the smartcard, the smartcard decreases the wallet of the smartcard 3020 by 25 tokens, writes the session ID 168, the session mode 169, the session index 170 and the session view 171 in the PPV event description 167 and

25

extracts and deciphers the control word from the ECM.

In the "pre-book" mode, an EMM will be passed to the smartcard 3020 so that the smartcard will write the session ID 168, the session mode 169, the session index 170 and the session view 171 in the PPV event description 167 using the EMM.

- 5 The session index 170 can be set to differentiate one broadcast from the other. This feature permits authorization to be given for a subset of broadcasts, for example, 3 times out of 5 broadcasts. As soon as an ECM with a session index different from the current session index 170 stored in the PPV event description 167 is passed to the smartcard, the number of the session view 171 is decreased by one. When the session  
10 view reaches zero, the smartcard will refuse to decipher an ECM with a different session index to the current session index.

The initial value of the session view depends only on the way in which the broadcast supplier wishes to define the event to which it relates; the session view for a respective event may take any value.

- 15 The microprocessor 110 in the smartcard implements a counting and a comparison program to detect when the limit to the number of viewings of a particular program has been reached.

- All of the session ID 168, the session mode 169, the session index 170 and the session view 171 in the PPV event description 167 may be extracted from the smartcard using  
20 the "call-back" procedure as described previously.

- Each receiver/decoder 2020 contains an identifier which may either identify uniquely that receiver/decoder or identify its manufacturer or may classify it in some other way in order to enable it to work only with a particular individual smartcard, a particular class of smartcards made by the same or a corresponding manufacturer or any other  
25 class of smartcards which are intended for use with that class of receiver/decoders exclusively.

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In this manner the receiver/decoders 2020 which have been supplied by one broadcast supplier to the consumer are protected against the use of non-authorized daughter smartcards 3020.

5 Additionally or alternatively to this first "handshake" between the smartcard and the receiver, the EEPROM of the smartcard 3020 could contain a field or bitmap describing the categories of receiver/decoders 2020 with which it can function. These could be specified either during the manufacture of the smartcard 3020 or by a specific EMM.

10 The bitmap stored in the smartcard 3020 typically comprises a list of up to 80 receiver/decoders, each identified with a corresponding receiver/decoder ID with which the smartcard may be used. Associated with each receiver/decoder is a level "1" or "0" indicating whether the smartcard may be used with the receiver/decoder or not, respectively. A program in the memory 2024 of the receiver/decoder searches for the identifier of the receiver/decoder in the bitmap stored in the smartcard. If the  
15 identifier is found, and the value associated with the identifier is "1", then the smartcard is "enabled"; if not, then the smartcard will not function with that receiver/decoder.

In addition, if, typically because of an agreement between operators, it is desired to authorize the use of other smartcards in a particular receiver/decoder, specific EMMs  
20 will be sent to those smartcards to change their bitmap via the transponder 2014.

Each broadcast supplier may differentiate his subscribers according to certain predetermined criteria. For example, a number of subscribers may be classed as "VIPs". Accordingly, each broadcast supplier may divide his subscribers into a plurality of subsets, each subset comprising any number of subscribers.

25 The subset to which a particular subscriber belongs is set in the SMS 3004. In turn, the SAS 3002 transmits an EMM to the subscriber which writes information (typically of length 1 byte) concerning the subset to which the subscriber belongs into the

relevant operator data zone, say 154, of the EEPROM of the smartcard. In turn, as events are broadcast by the broadcast supplier, an ECM, typically of 256 bits, is transmitted with the event and indicating which of the subsets of subscribers may view the event. If, according to the information stored in the operator zone, the subscriber  
5 does not have the right to view the event, as determined by the ECM, programme viewing is denied.

This facility may be used, for example, to switch off all of a given operator's smartcards in a particular geographical region during the transmission of a particular program, in particular a program relating to a sports fixture taking place in that  
10 geographical region. In this manner football clubs and other sport bodies can sell broadcasting rights outside their locality whilst preventing local supporters from viewing the fixture on television. In this manner the local supporters are encouraged to buy tickets and attend the fixture.

Each of the features associated with zones 151 to 172 is considered to be a separate  
15 invention independent of the dynamic creation of zones.

It will be understood that the present invention has been described above purely by way of example, and modifications of detail can be made within the scope of the invention.

Each feature disclosed in the description, and (where appropriate) the claims and  
20 drawings may be provided independently or in any appropriate combination.

In the aforementioned preferred embodiments, certain features of the present invention have been implemented using computer software. However, it will of course be clear to the skilled man that any of these features may be implemented using hardware. Furthermore, it will be readily understood that the functions performed by the  
25 hardware, the computer software, and such like are performed on or using electrical and like signals.



Cross reference is made to our co-pending applications, all bearing the same filing date, and entitled Signal Generation and Broadcasting (Attorney Reference no. PC/ASB/19707), Smartcard for use with a Receiver of Encrypted Broadcast Signals, and Receiver (Attorney Reference No. PC/ASB/19708), Broadcast and Reception System and Conditional Access System therefor (Attorney Reference No. PC/ASB/19710), Downloading a Computer File from a Transmitter via a Receiver/Decoder to a Computer (Attorney Reference No. PC/ASB/19711), Transmission and Reception of Television Programmes and Other Data (Attorney Reference No. PC/ASB/19712), Downloading Data (Attorney Reference No. PC/ASB/19713), Computer Memory Organisation (Attorney Reference No. PC/ASB/19714), Television or Radio Control System Development (Attorney Reference No. PC/ASB/19715), Extracting Data Sections from a Transmitted Data Stream (Attorney Reference No. PC/ASB/19716), Access Control System (Attorney Reference No. PC/ASB/19717), Data Processing System (Attorney Reference No. PC/ASB/19718), and Broadcast and Reception System, and Receiver/Decoder and Remote Controller therefor (Attorney Reference No. PC/ASB/19720). The disclosures of these documents are incorporated herein by reference. The list of applications includes the present application.

CLAIMS

1. Apparatus for repetitively generating a set of messages, comprising:  
means for generating a plurality of messages;  
means for repetitively randomizing the sequence of the messages to form a  
5 plurality of sets of such messages, so that the messages of each set are in random  
sequence; and  
means for outputting the plurality of sets of messages.
2. Apparatus according to Claim 1, wherein the message generating means  
includes means for storing the generated messages.
- 10 3. Apparatus according to Claim 2, wherein the randomizing means is arranged  
to form each said set of messages by retrieving the messages in random sequence from  
the storage means.
4. Apparatus according to Claim 2 or 3, wherein the storage means is arranged  
to store the messages in an at least two-dimensional array.
- 15 5. Apparatus according to any of the preceding claims, further comprising means  
coupled to the output means for varying the rate at which the plurality of sets of  
messages is output.
6. Apparatus according to any of Claims 2 to 4, further comprising means coupled  
to the storage means for evaluating the size of the messages stored in the storage  
20 means, and means coupled to the output means for varying the rate at which the  
plurality of sets of messages is output, in dependence upon the said size of the  
messages.
7. Apparatus according to any of the preceding claims, wherein the generating  
means and randomizing means are coupled via a First In First Out device.

8. Apparatus according to any of the preceding claims, wherein the output means includes means for storing at least one of the sets of messages.
9. Apparatus according to any of the preceding claims, wherein a plurality of output means are provided, and further comprising a multiplexer for receiving the plurality of sets of messages output by said plurality of output means.
10. An access control system for a broadcast and reception system, said access control system including, at the broadcast end, apparatus according to any of the preceding claims, and, at the reception end, a device for receiving said messages.
11. An access control system according to Claim 10, wherein said message is an entitlement message for broadcast to the receiving device.
12. A broadcast and reception system including an access control system according to Claim 11.
13. A method of repetitively generating a set of messages, comprising:  
generating a plurality of messages;  
repetitively randomizing the sequence of the messages to form a plurality of sets of such messages, so that the messages of each set are in random sequence; and  
outputting the plurality of sets of messages.
14. A method according to Claim 13, including the step of storing messages generated in the generating step, and wherein in the randomizing step each said set of messages is formed by retrieving the messages in random sequence.
15. A method of controlling access of a user to a broadcast and reception system, including, at the broadcast end, a method of repetitively generating a set of messages according to Claim 13 or 14, and, at the reception end, the step of receiving said messages.

16. A method according to Claim 15, wherein the message is an entitlement message for reception by the receiving step.
17. Apparatus substantially as herein described with reference to and as illustrated in the accompanying drawings, and especially Figure 16 and 17 thereof.
- 5 18. Apparatus according to any of Claims 1 to 9, wherein the output means is arranged to output the plurality of sets of messages as a digital signal including a packet of digital data and an identifier for the packet, the identifier being less than two bytes in length.
- 10 19. Apparatus for generating a digital signal comprising a packet of digital data and an identifier for the packet, comprising:  
means for generating the packet of data; and  
means for generating the identifier;  
wherein:  
the identifier generating means is arranged to generate an identifier which is  
15 less than two bytes in length.
20. Apparatus according to Claim 18 or 19, wherein the identifier comprises an identity parameter and a length parameter.
21. Apparatus according to Claim 20, wherein the identity and length parameters are each 4 bits in length.
- 20 22. Apparatus according to Claim 20 or 21, wherein the digital value of the length parameter is not directly proportional to the actual length of the packet.
23. Apparatus according to any of Claims 20 to 22, further comprising means for storing a look-up table giving the correspondence between the digital value of the length parameter and the actual length of the packet.

24. A broadcast and reception system including, at the broadcast end, apparatus according to any of Claims 18 to 23, and, at the reception end, a device for receiving said signal.

5 25. A method according to any of Claims 13 to 16, wherein the plurality of messages are output as a digital signal comprising a packet of digital data and an identifier for the packet, the identifier being less than two bytes in length.

26. A method of generating a digital signal comprising a packet of digital data and an identifier for the packet, comprising:

generating the packet of data; and

10 generating the identifier;

wherein:

the identifier is less than two bytes in length.

27. A method according to Claim 25 or 26, wherein the identifier comprises an identity parameter and a length parameter.

15 28. A method according to Claim 27, wherein the digital value of the length parameter is not directly proportional to the actual length of the packet.

29. A digital signal, comprising:

a packet of digital data; and

an identifier for the packet;

20 wherein:

the identifier is less than two bytes in length.

30. A signal according to Claim 29, wherein the identifier comprises an identity parameter and a length parameter.

31. A signal according to Claim 30, wherein the identity and length parameters are  
25 each 4 bits in length.

32. A signal according to Claim 30 or 31, wherein the digital value of the length parameter is not directly proportional to the actual length of the packet.

33. Apparatus substantially as herein described with reference to and as illustrated in the accompanying drawings, and especially Figure 10 thereof.

5 34. A broadcast and reception system according to Claim 12, further comprising means for broadcasting data, and means for producing a control command representative of a characteristic of the data, and wherein the output means includes means for repetitively broadcasting the plurality of sets of messages, at a variable repetition rate, and for varying the repetition rate in response to the control command.

10 35. A broadcast system, comprising:  
means for broadcasting data;  
means for producing a control command representative of a characteristic of the data; and  
means for repetitively broadcasting a message, at a variable repetition rate; said  
15 message broadcasting means being arranged to vary the repetition rate in response to the control command.

36. A system according to Claim 34 or 35, wherein the data are signals representative of programme events, and the means for producing the control command is arranged to produce the command to be representative of the time of  
20 broadcast of a particular programme event.

37. A system according to Claim 36, wherein said message broadcasting means is arranged to vary the repetition rate near the time of broadcast of the event.

38. A system according to Claim 36 or 37, wherein said message broadcasting means is arranged to increase the repetition rate before the time of broadcast of the  
25 event.

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39. A system according to Claim 36, 37 or 38, wherein said message broadcasting means is arranged to increase the repetition rate during the time of broadcast of the event.

40. A broadcast and reception system including, at the broadcast end, a system according to any of Claims 35 to 39, and, at the reception end, a device for receiving the broadcast data and messages.

41. A method of broadcasting, comprising:

broadcasting data;

producing a control command representative of a characteristic of the data; and

repetitively broadcasting a message, at a variable repetition rate which is varied in response to the control command.

42. A method according to Claim 41, wherein the data are signals representative of programme events, and the control message is representative of the time of broadcast of a particular programme event.

43. A method according to Claim 42, wherein the repetition rate is varied near the time of broadcast of the event.

44. A method according to Claim 42 or 43, wherein the repetition rate is increased before the time of broadcast of the event.

45. A method according to Claim 42, 43 or 44, wherein the repetition rate is increased during the time of broadcast of the event.

46. A broadcast system substantially as herein described with reference to and as illustrated in the accompanying drawings, and especially Figures 5 and 15 thereof.

47. A conditional access system for affording conditional access to subscribers, comprising:

a subscriber management system; and

a subscriber authorization system coupled to the subscriber management system, said authorization system comprising means for generating subscriber renewal commands and means for authorizing renewal of the subscribers in dependence on such commands.

48. A conditional access system for affording conditional access to subscribers, comprising:

a subscriber management system for managing the subscribers, including a database with subscriber information and means for generating a stop command that a particular subscription is to be stopped; and

a subscriber authorization system, for authorizing the subscribers, coupled to the subscriber management system, and arranged to receive the stop command; the subscriber authorization system comprising means for generating a subscriber renewal command for each subscriber in dependence on the stop command for that subscriber and means for authorizing renewal of the subscribers in dependence on the subscriber renewal commands.

49. A conditional access system according to Claim 48 wherein the subscriber management system includes means for generating a start command that a particular subscription is to be started, and the subscriber renewal command generating means of the subscriber authorization system is arranged to generate each subscriber renewal command in further dependence on the start command.

50. A conditional access system according to Claim 47, 48 or 49, wherein the subscriber authorization system includes a database with subscriber information.

51. A conditional access system according to Claim 48 or 49, wherein the subscriber authorization system includes a database with subscriber information and means for updating the information in that database in dependence on the information in the subscriber management system database.



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52. A conditional access system according to any of Claims 47 to 51, wherein a First In First Out Device is provided between the subscriber renewal command generating means and the renewal authorizing means.

5 53. A conditional access system according to any of Claims 47 to 52, wherein the renewal authorizing means includes output means for outputting authorization entitlement messages to the subscribers.

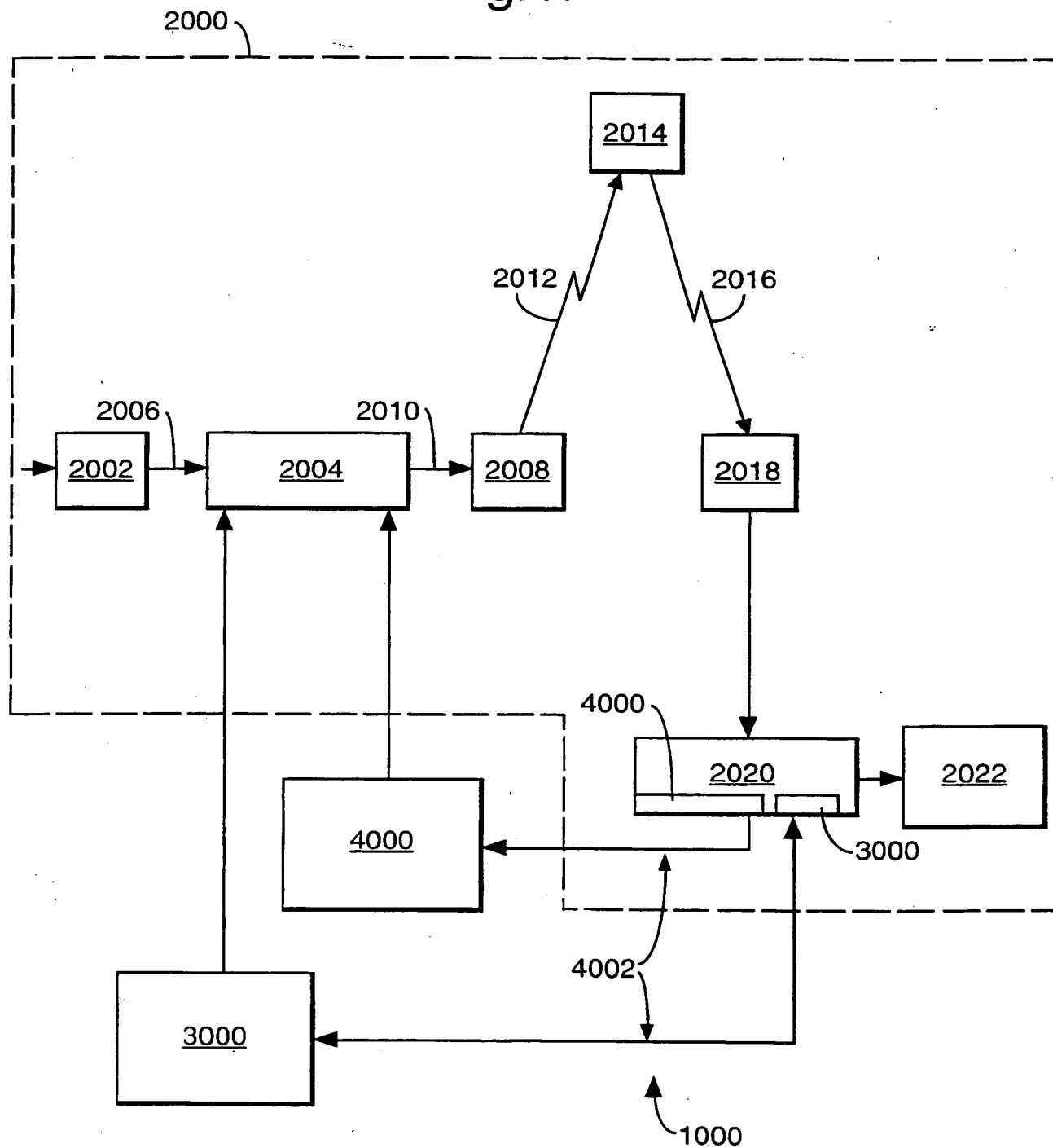
54. A conditional access system according to any of Claims 47 to 53, wherein the subscriber authorization system is capable of providing special rights for new subscribers.

10 55. A conditional access system, being for a broadcast and reception system, said system comprising, at the broadcast end, a system according to any of Claims 47 to 54, and further comprising, at the reception end, a device for receiving said messages.

56. A broadcast and reception system including a conditional access system according to any of Claims 47 to 55.

15 57. A conditional access system substantially as herein described with reference to and as illustrated in the accompanying drawings, and especially Figures 5, 6 or 7 thereof.

Fig.1.



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Fig.2.

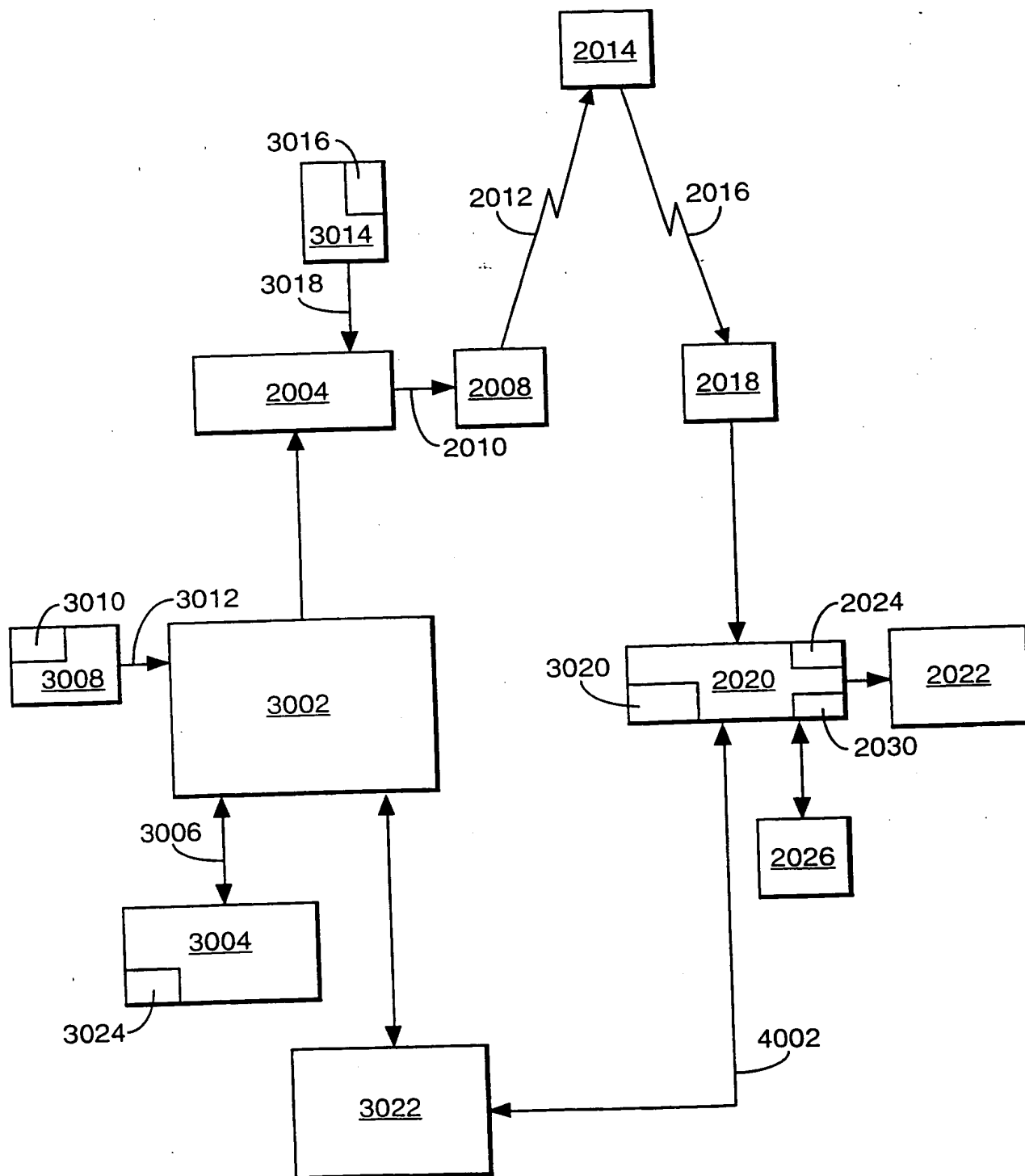
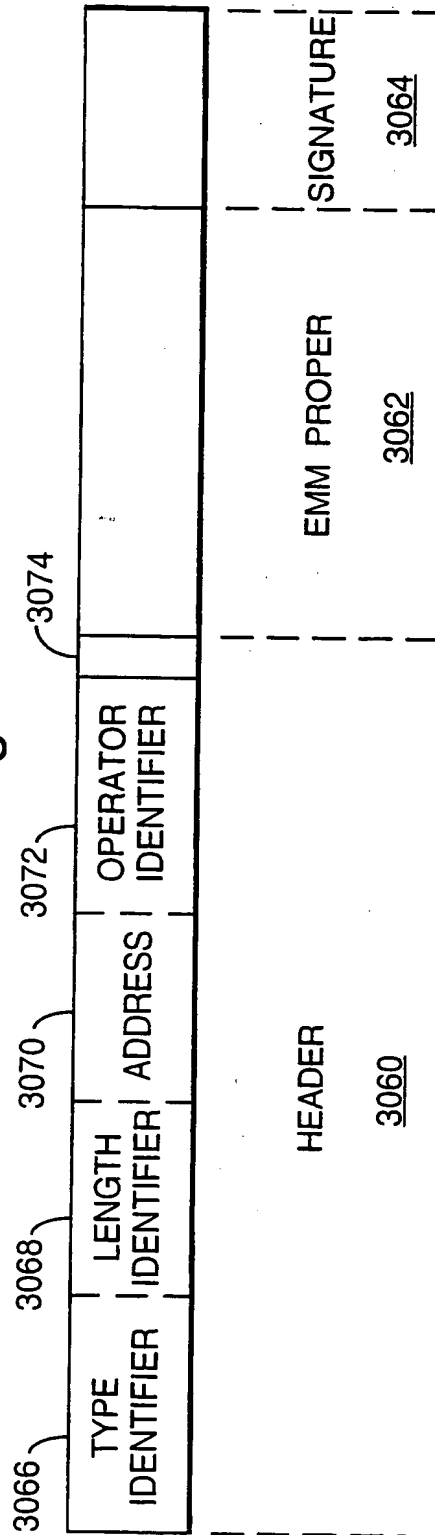
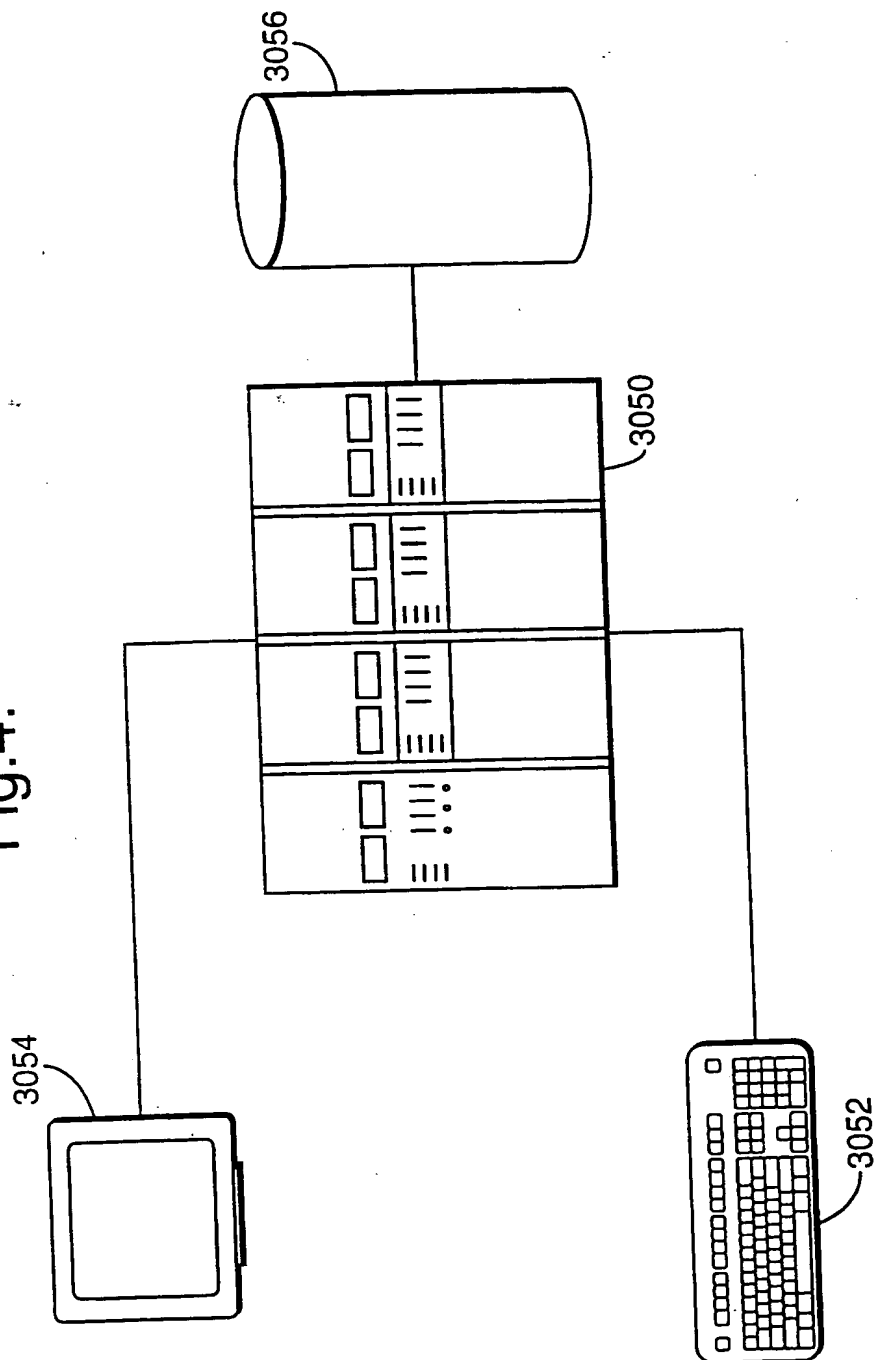


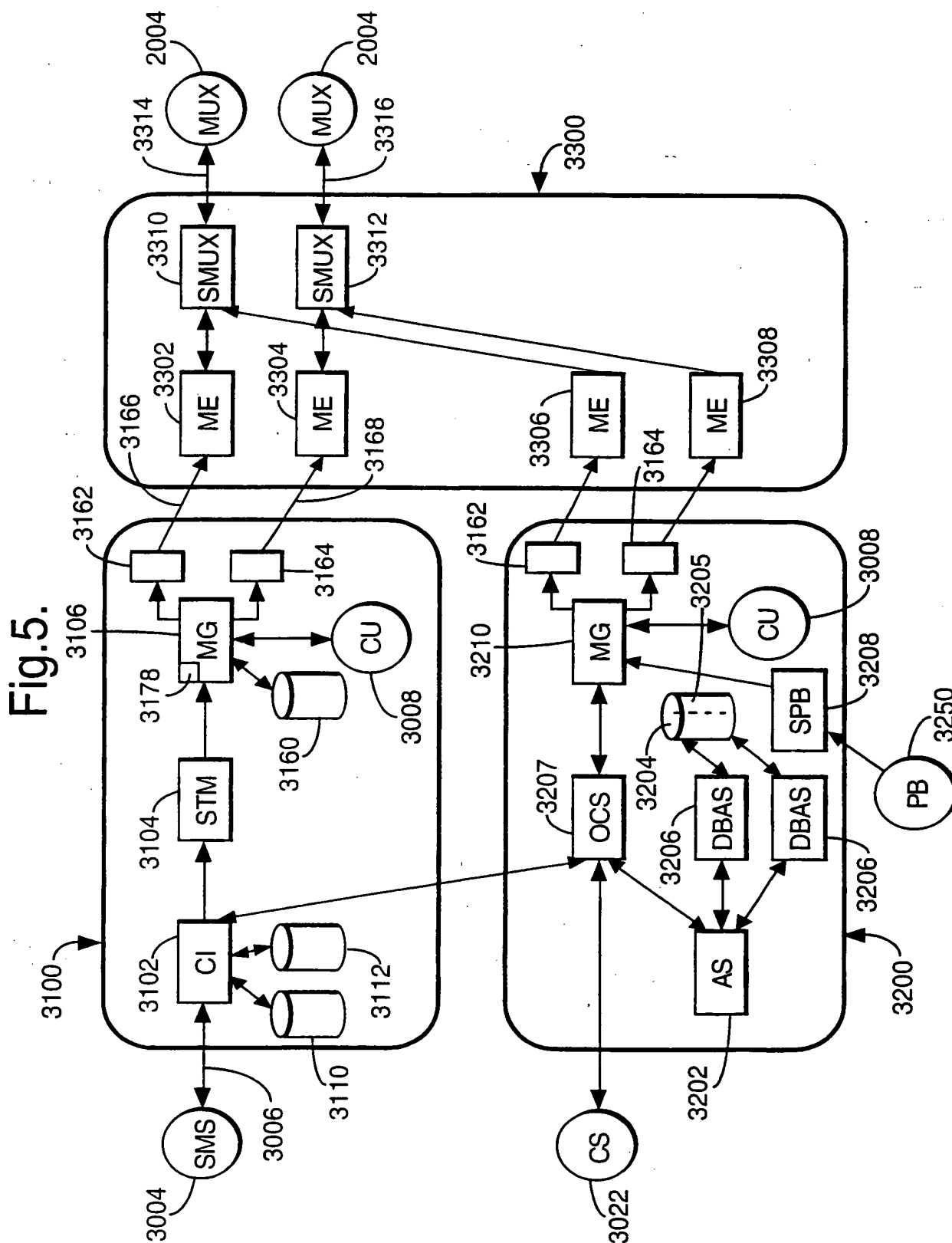
Fig.3.



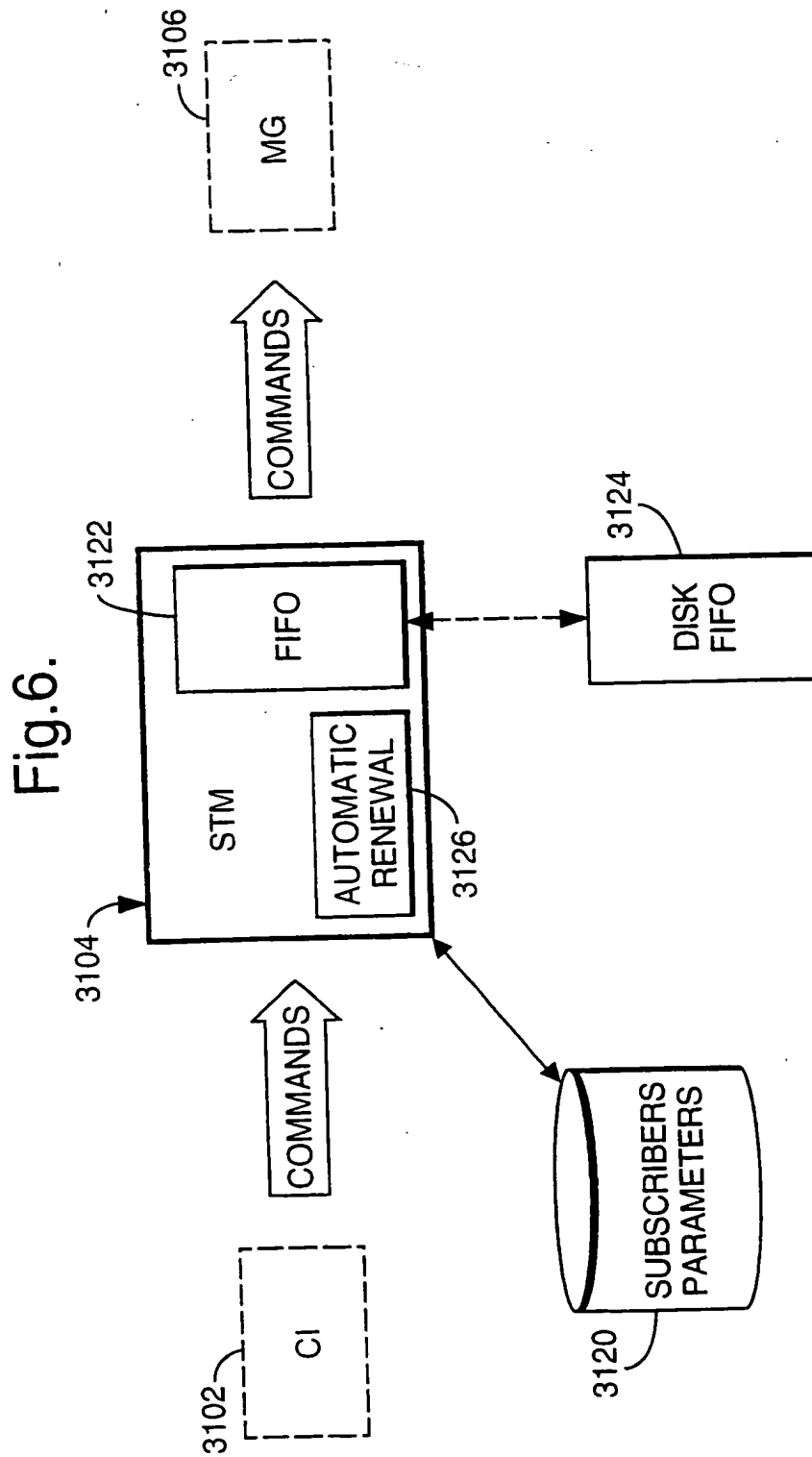
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Fig. 4.



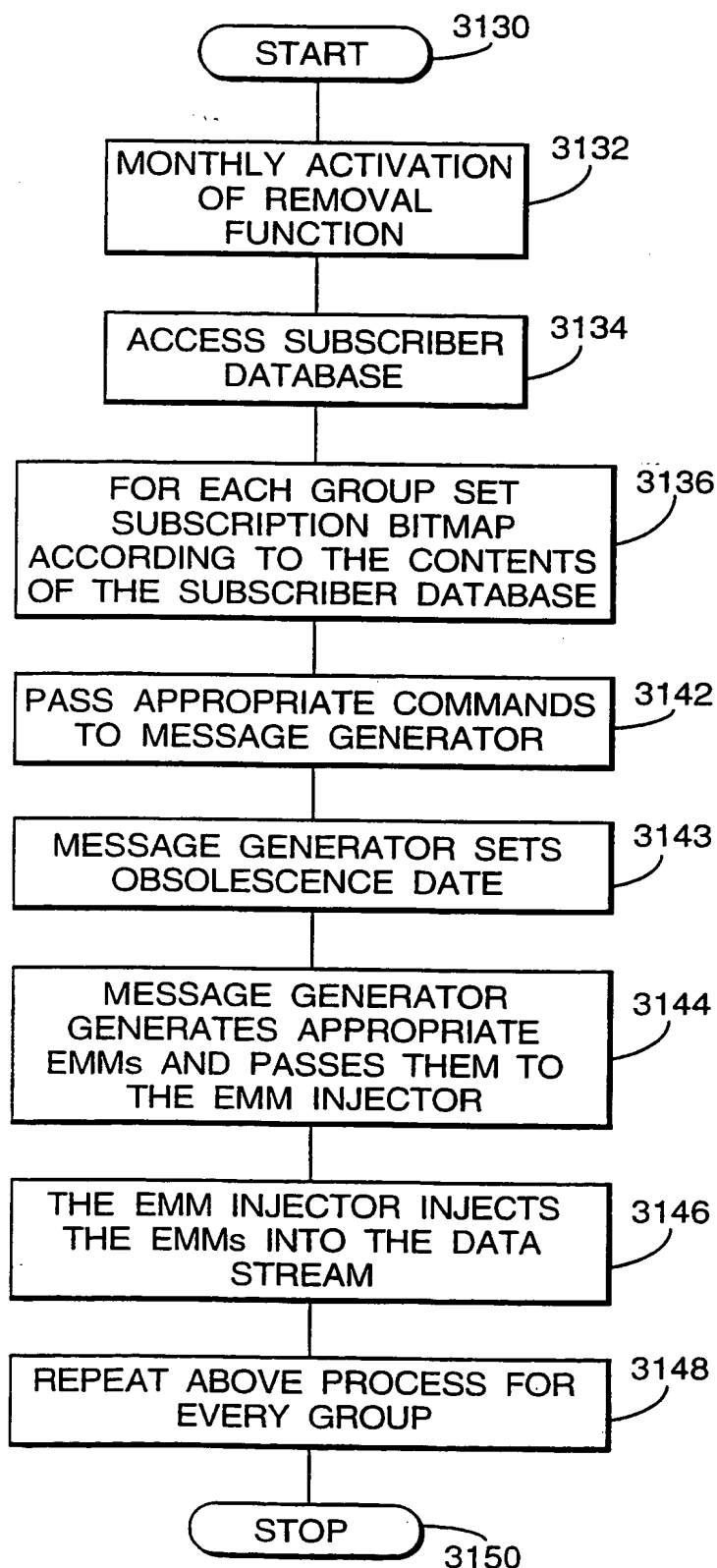


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Fig.7.





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Fig.8.

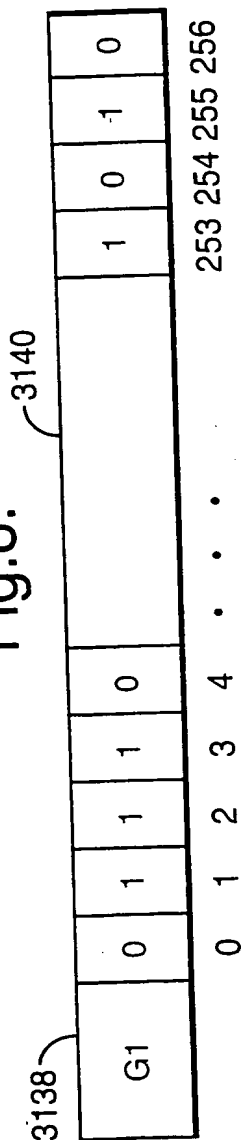


Fig.9.

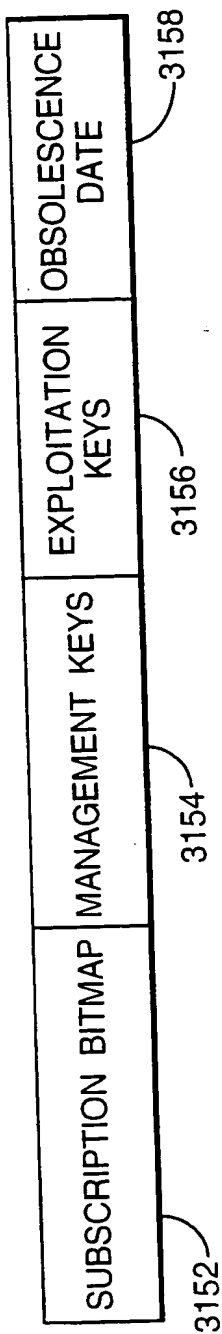
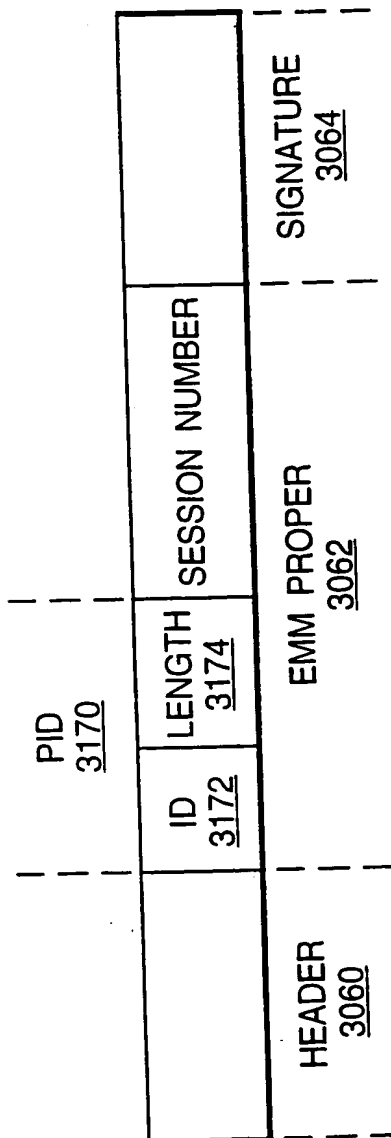
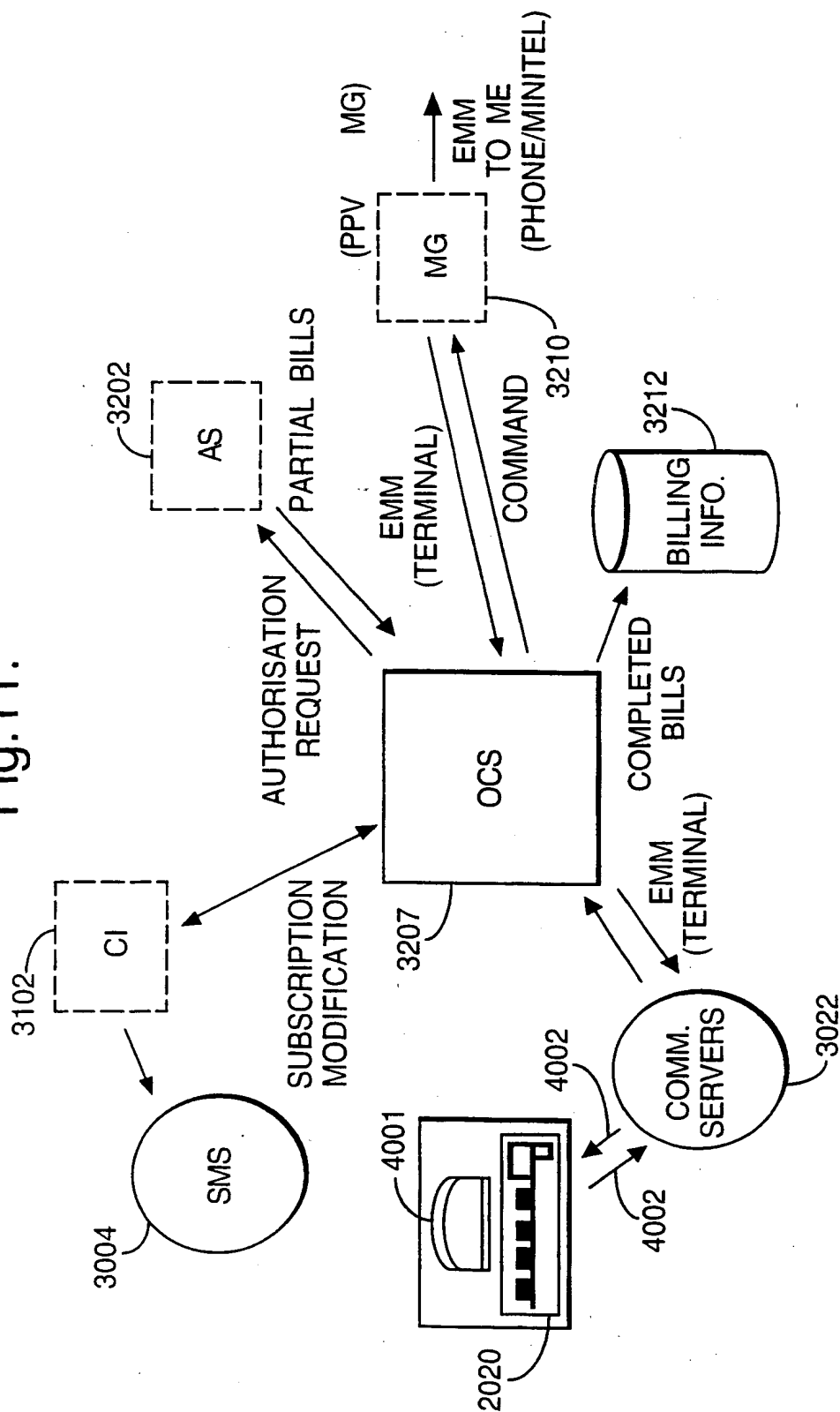


Fig.10.



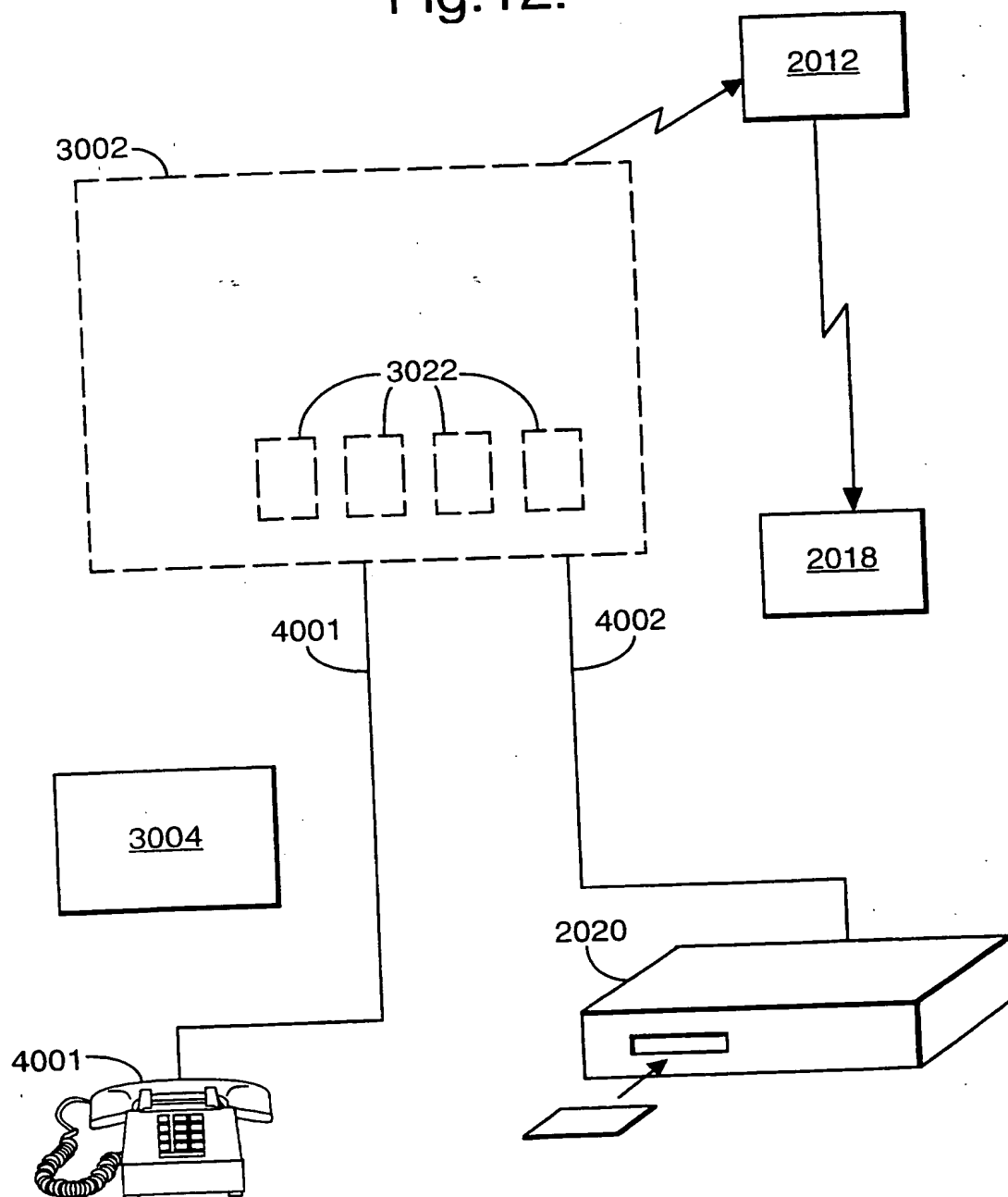
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Fig.11.



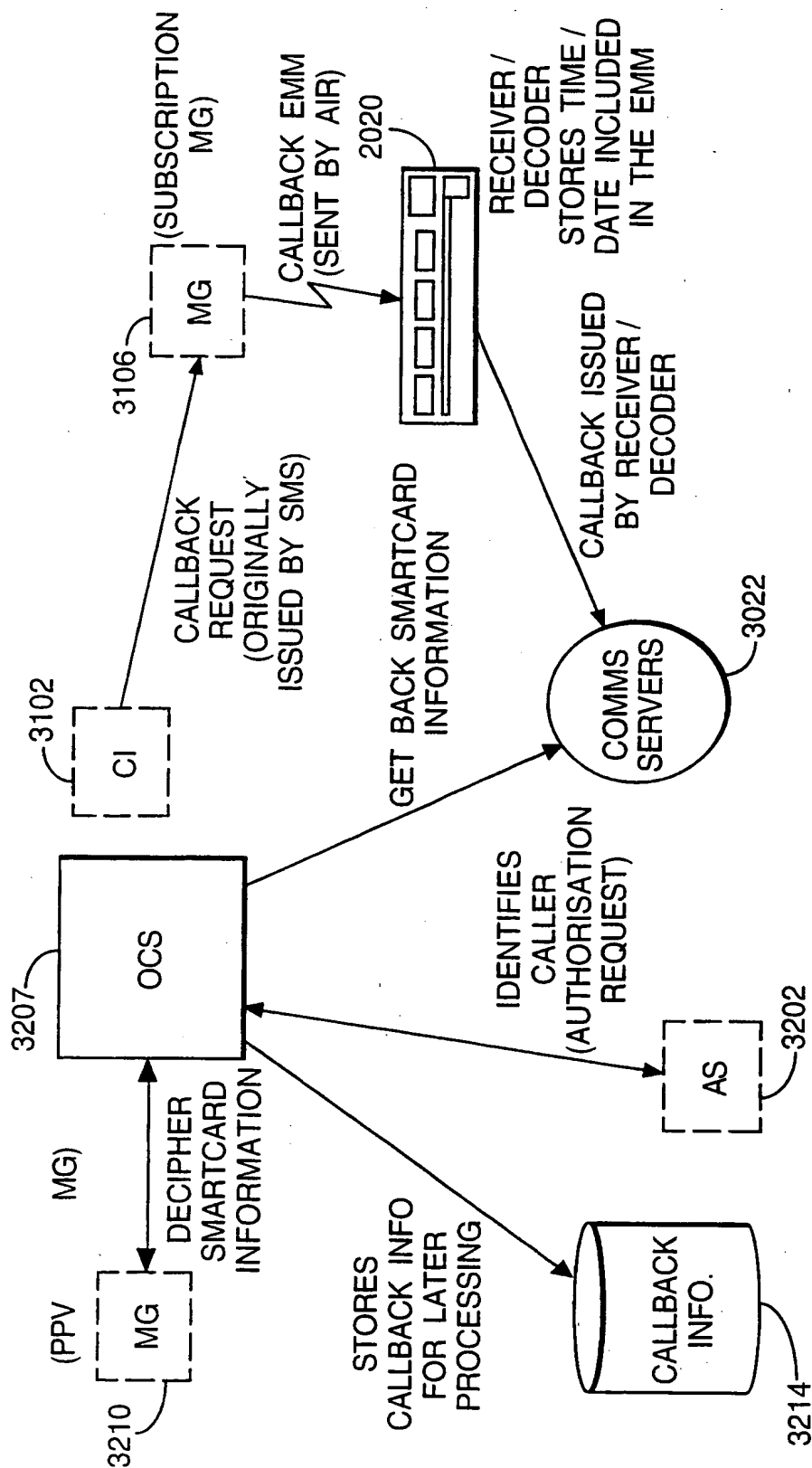
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Fig.12.



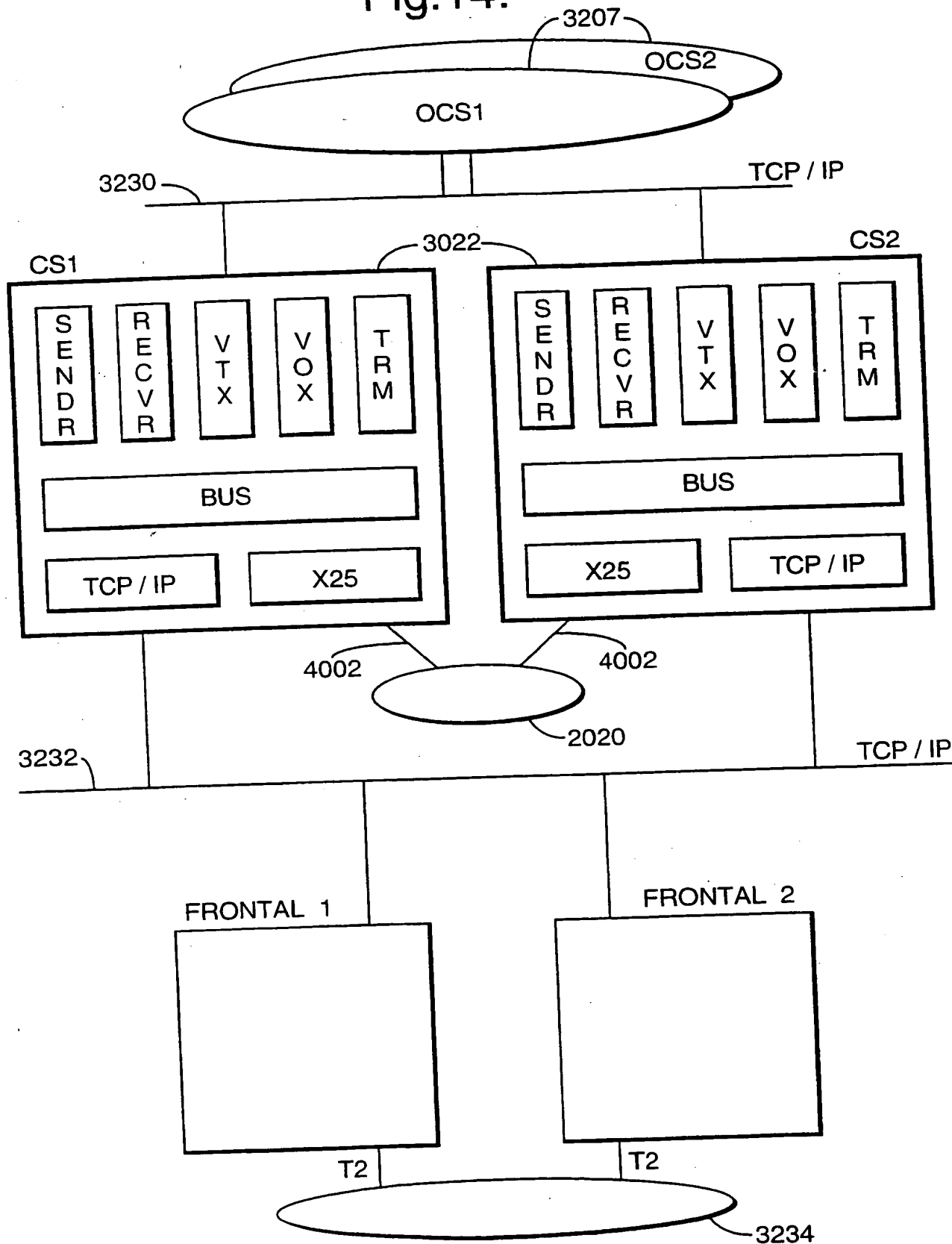
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Fig. 13.



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Fig.14.



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Fig.15.

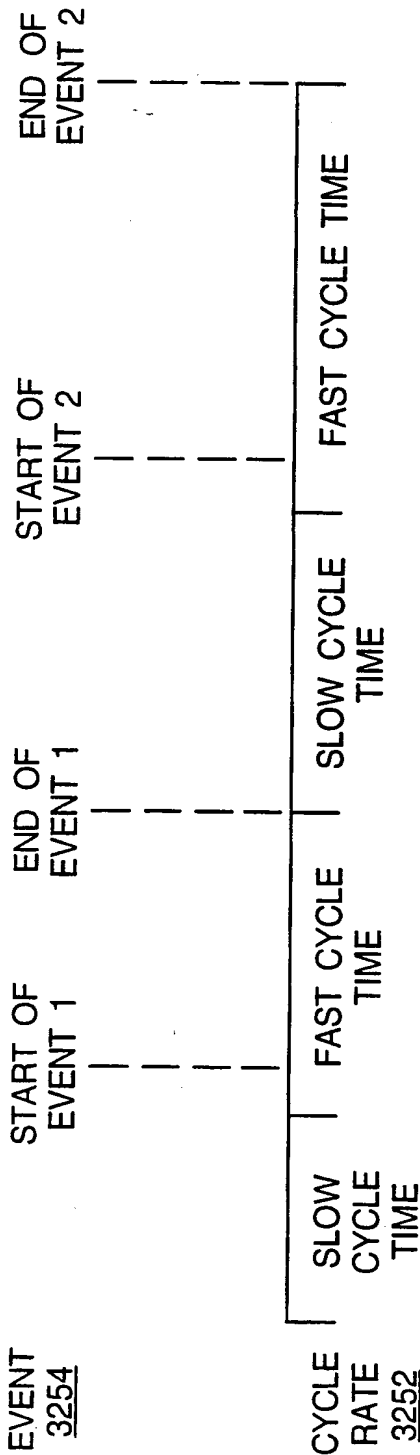
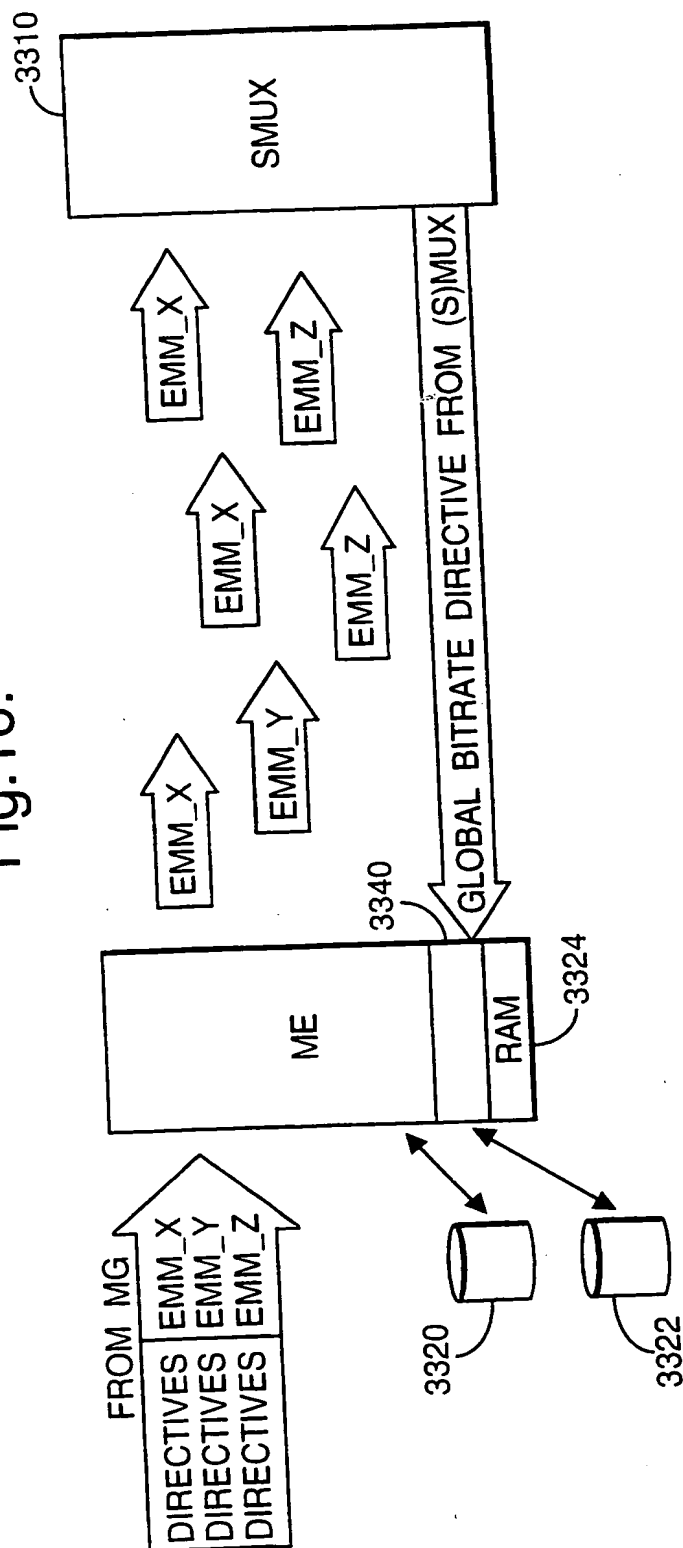
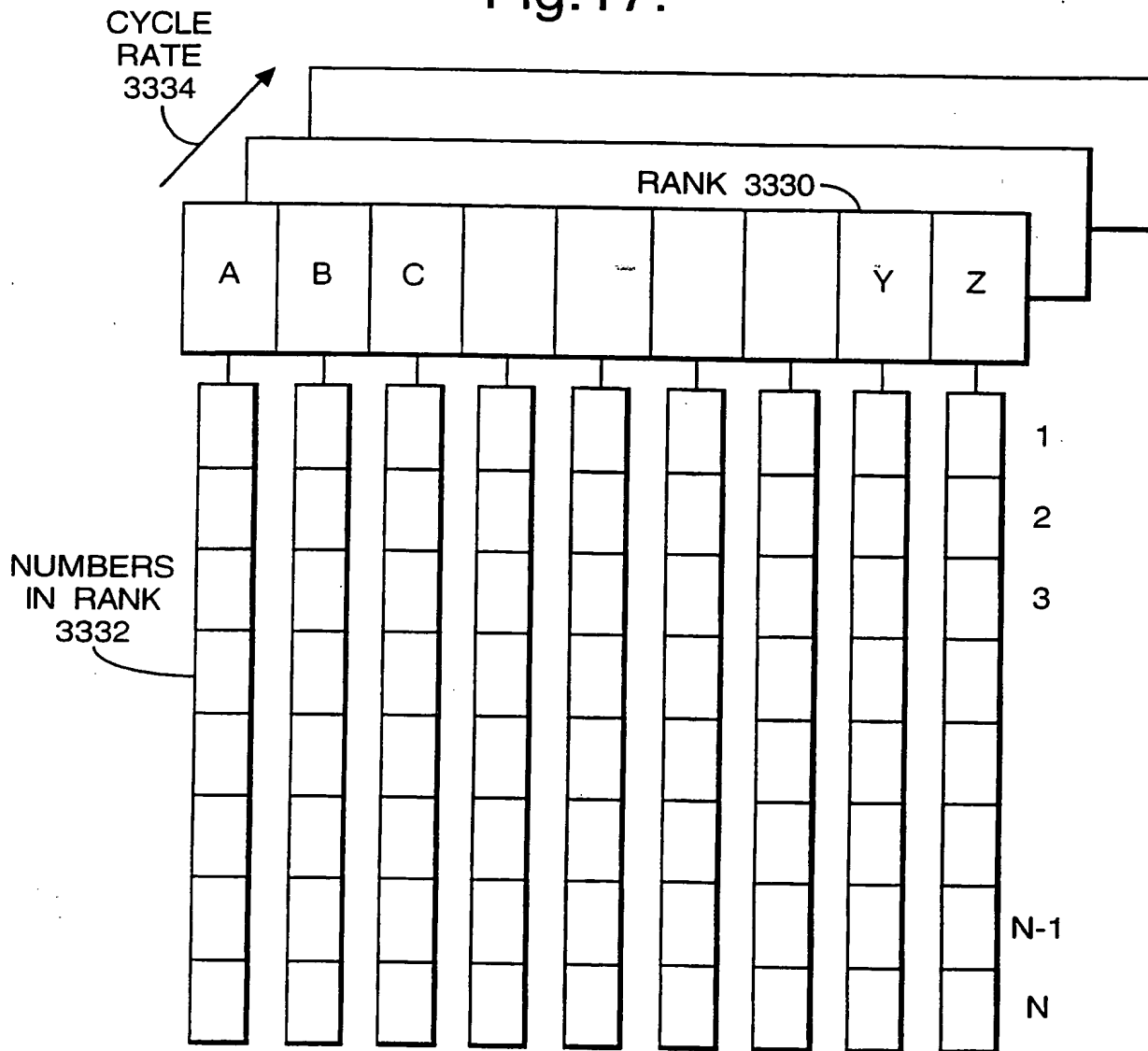


Fig.16.



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Fig.17.





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Fig.18.

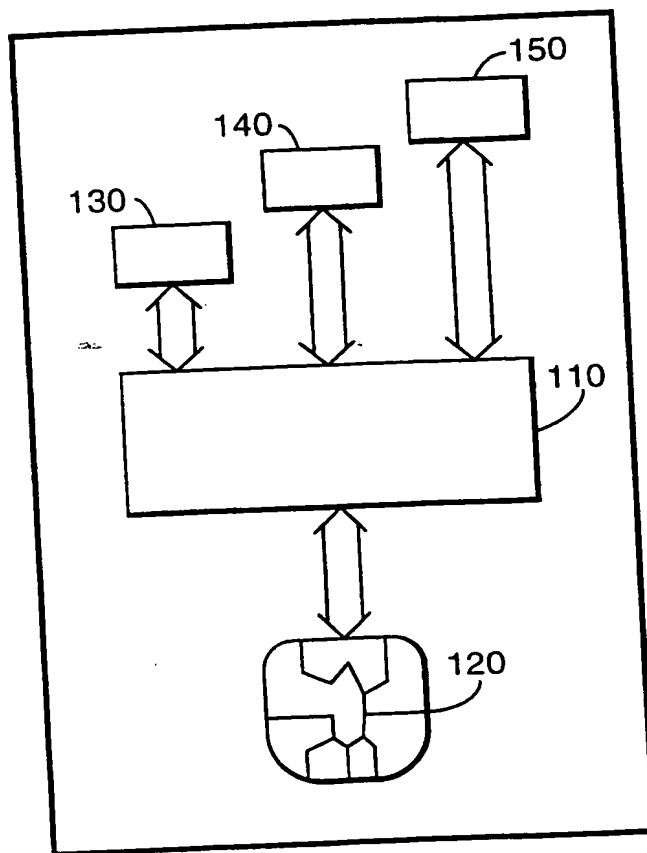
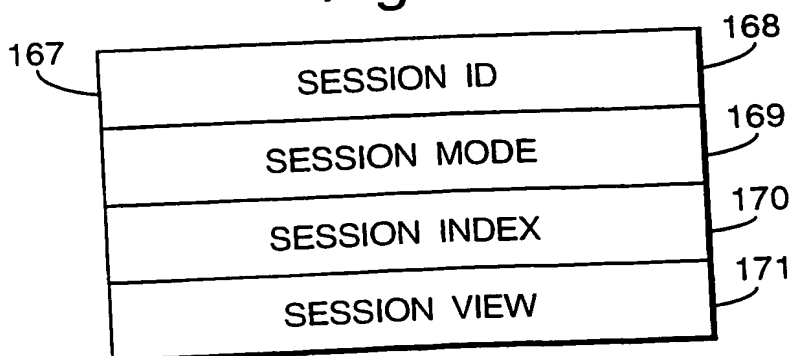


Fig.20.



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Fig.19.

CARD ID ZONE			151
RANDOM GEN. ZONE			152
MANAGEMENT ZONE			153
OPERATOR 1 ID			154
OPERATOR 2 ID			155
OPERATOR N ID			156
1	EMM KEY	DATA	157
1	ECM KEY	DATA	159
2	EMM KEY	DATA	
1	SUBS BITMAP	DATA	161
0	OBJECT FREE		166
3	ECM KEY	DATA	
1	TOKEN WALLET	DATA	163
1	PPV EVENT	DATA	165
N	ECM KEY	DATA	

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# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/EP 97/02106

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 H04N7/167

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 H04N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 420 866 A (WASILEWSKI ANTHONY J) 30 May 1995  see column 7, line 64 - column 18, line 5 see figures 2-8	1, 5, 9-13, 15-22, 24-49, 55-57
X	EP 0 723 371 A (THOMSON MULTIMEDIA SA) 24 July 1996  see page 3, column 3, line 57 - page 5, column 7, line 58 see figures 1-4	1-3, 5, 6, 8-18, 34-40, 47

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

14 November 1997

Date of mailing of the international search report

25/11/1997

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Van der Zaal, R

## INTERNATIONAL SEARCH REPORT

Inter: International Application No

PCT/EP 97/02106

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 710 025 A (SONY CORP) 1 May 1996.  see page 5, column 7, line 21 - page 6, column 9, line 52 see page 7, column 11, line 44 - page 9, column 15, line 37 see figures 6-11  ----	1-6, 8-18, 35-57
A	"FUNCTIONAL MODEL OF A CONDITIONAL ACCESS SYSTEM" EBU REVIEW- TECHNICAL, no. 266, 21 December 1995, pages 64-77, XP000559450 see the whole document  ----	1-57
A	VIGARIE J P: "A DEVICE FOR REAL-TIME MODIFICATION OF ACCESS CONDITIONS IN A D2-MAC/PACKET EUROCRYPT SIGNAL: THE TRANSCONTROLLER" CABLE TV SESSIONS, MONTREUX, JUNE 10 - 15, 1993, no. SYMP. 18, 11 June 1993, POSTES;TELEPHONES ET TELEGRAPHES SUISSES, pages 761-769, XP000379391  -----	

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Information on patent family members

Inter. Jnal Application No

PCT/EP 97/02106

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EP 0710025 A	01-05-96	JP 8181689 A	12-07-96

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